Changing forests & overlapping tenure in the Ecuadorian Amazon: Implications for the future implementation of SocioBosque

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Rationale for research

- Deforestation in EC Amazon = resource extraction x roads
 x agricultural settlement
- Indirect drivers = land tenure x land settlement program (or policy)
- Growing evidence that not only PAs, but indigenous reserves, community forests influence forest outcomes

- Clear & secure land tenure = critical component for PES
 & REDD+
- Lack of empirical understanding: land tenure & deforestation
- Context-dependent, but key for design, prioritization & implementation of forest carbon management

Research questions

- 1. Is there a significant variation in forest change across different forms of tenure?
- 2. Are forest outcomes markedly different for areas where tenure overlaps exist?
- 3. How might these observed relationships inform the implementation of forest conservation incentives & forest carbon management in Ecuador?

SocioBosque in Ecuador **SocioBo**

Socio Bosque

Programa de protección de bosques

- Launched in 2008
- Two goals:
 - Conserve 36,000 km² of forest (+ other native ecosystems)
 - Safeguard livelihoods & improve income for 0.5 1.5 million people
- Incentive agreements: voluntary cash payments per hectare of forest enrolled
- Individual or communal title (indigenous): clear & uncontested
- Initially, lands within PAs NOT eligible (now YES)
- Spatial prioritization for implementation, defined as:
 - Deforestation threat
 - ES provision (carbon storage, water regulation, habitat for biodiversity)
 - Degree of poverty (unsatisfied basic needs (UBN) index)
 - Currently targeting priorities # 1 & 2

Study area

- Northern Ecuadorian Amazon:
 - Two provinces: Orellana & Sucumbíos
 - 39,763 km²
- Discovery of oil in 1967
- Agrarian Reform & Colonization (1964 & 1973), rapid increase in human population
- Rapid road construction



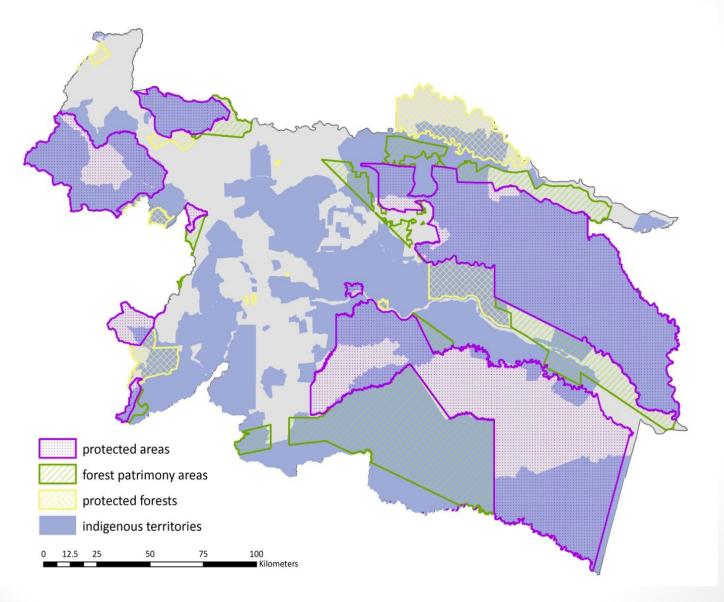
- Political & economic turmoil
- Increased pressure to exploit petroleum
- Oil & population boom

• 2000 **–** 2008:

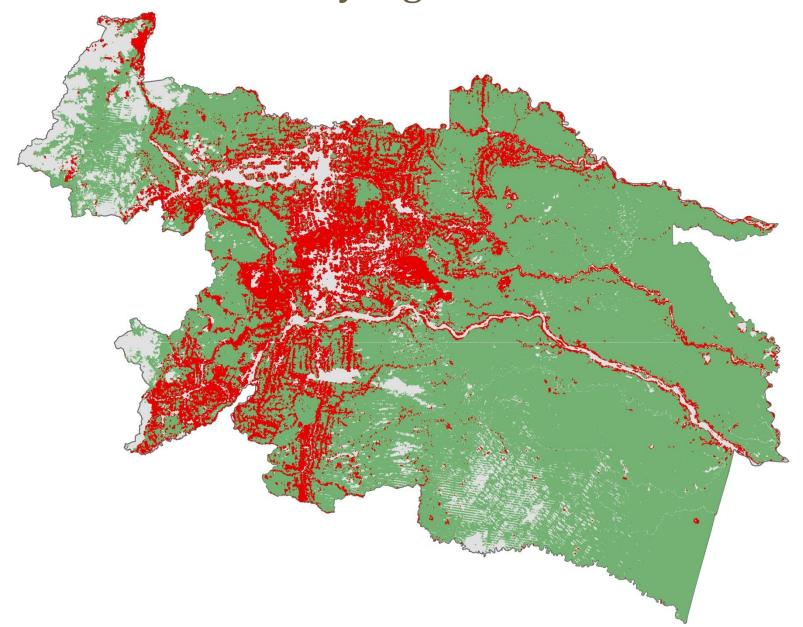
- Structural reform & dollarization
- Continued political instability until 2007 (Correa administration)
- 2008: new Constitution & SocioBosque
- Population growth slowed (2011 census)



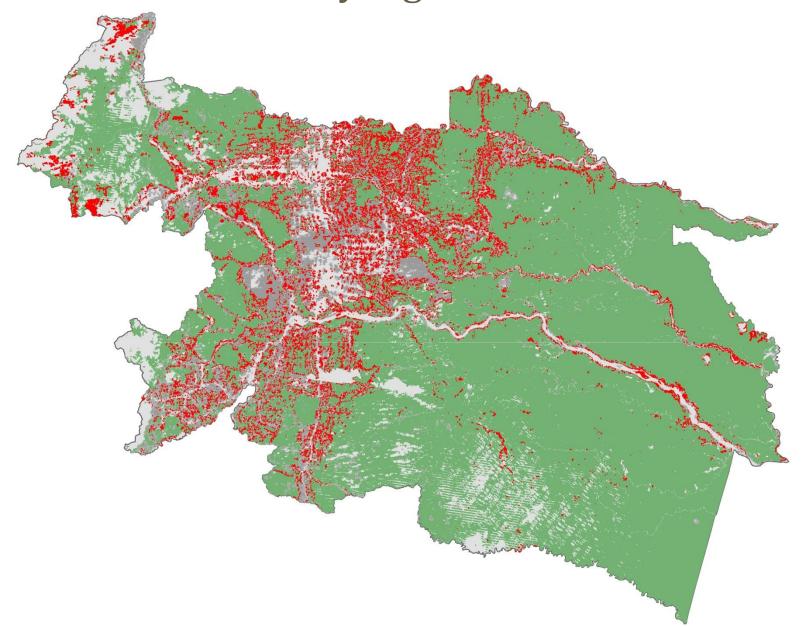
Tenure categories: challenges in defining "clean" forms



Deforestation in study region: 1990 – 2000



Deforestation in study region: 2000 - 2008



Forest change by tenure category	Total area (km²)	Forest base (km²)	% De- forested	Defor/yr	Fractional loss of defor
1990-2000					
Study region	39,762.7	33,606.8	12.1	408.0	-1.3
Protected area (PA)	5,717.0	5,381.5	0.9	4.9	-0.1
PA-INDIG (overlap)	8,375.6	7,477.5	1.8	13.4	-0.2
Forest patrimony (PF)	1,588.8	1,472.8	6.0	8.8	-0.6
PF-INDIG (overlap)	4,261.4	3,564.9	3.8	13.7	-0.4
Protected forest (BP)	272.8	164.8	19.0	3.1	-2.1
BP-INDIG (overlap)	804.9	738.5	12.1	8.9	-1.3
Indigenous	10,215.8	8,549.8	21.7	185.8	-2.4
Private-MAGAP	8,564.5	6,278.4	27.0	169.4	-3.1
2000-2008					
Study region	39,762.7	29,966.5	3.8	141.9	-0.5
Protected area (PA)	5,995.2	5,088.6	0.5	3.1	-0.1
PA-INDIG (overlap)	9,020.8	7,883.0	1.5	15.0	-0.2
Forest patrimony (PF)	1,588.8	1,392.2	2.8	4.8	-0.4
PF-INDIG (overlap)	4,261.4	3,476.3	1.5	6.5	-0.2
Protected forest (BP)	272.8	140.1	7.1	1.2	-0.9
BP-INDIG (overlap)	858.8	695.0	2.6	2.3	-0.3
Indigenous	9,519.7	6,307.4	6.5	51.4	-0.8
Private-MAGAP	8,286.2	4,616.4	10.0	57.5	-1.3

Predictors of Deforestation: the effect of land tenure

Fixed effects at municipality level

ı

Protected area (PA)	-0.44 (2.75)
PA + Indigenous	-4.00 (1.26) ***
Forest patrimony (PF)	-1.66 (1.36)
PF + Indigenous	-3.68 (1.56) **
	, ,
Protected forest (BP)	-1.99 (3.36)
,	,
BP + Indigenous	0.73 (3.78)
5	,
Indigenous (only)	-1.08 (1.09)
	,
Constant (Private land in period 1)	59.15 (4.33) ***
2000-2008 period	-27.75 (0.74) ***
·	,
covariates included ¹	yes
Random effects: tenure	yes
Random effects: + other covariates ²	yes
log likelihood	-240526
n	56564

^{1 =} total percent forest and distance variables(road, population center, river, mine, oilfield)

²⁼ total percent forest and distance variables(road, population center, river, mine, oilfield)

Predictors of Deforestation: the effect of land tenure

Fixed effects at municipality level	Model I	Time period	Model II
Protected area (PA)	-0.44 (2.75)	1	-1.76 (2.71)
		2	0.75 (2.71)
PA + Indigenous	-4.00 (1.26) ***	1	-6.13 (1.28) ***
		2	-2.08 (1.27)
Forest patrimony (PF)	-1.66 (1.36)	1	-5.56 (1.40) ***
		2	2.32 (1.40) *
PF – Indigenous	-3.68 (1.56) **	1	-5.75 (1.59) ***
		2	-1.53 (1.59)
Protected forest (BP)	-1.99 (3.36)	1	-2.61 (3.68)
		2	-1.33 (3.68)
BP – Indigenous	0.73 (3.78)	1	-0.31 (3.79)
		2	1.61 (3.75)
Indigenous (only)	-1.08 (1.09)	1	-0.69 (1.13)
		2	-1.61 (1.13)
Constant (Private land in period 1)	59.15 (4.33) ***		58.90 (4.38) ***
2000-2008 period	-27.75 (0.74) ***		-27.25 (0.75) ***
covariates included ¹	yes		yes
Random effects: tenure	yes		yes
Random effects: + other covariates ²	yes		yes
log likelihood	-240526		-240426
n	56564		56564

^{1 =} total percent forest and distance variables(road, population center, river, mine, oilfield)

²⁼ total percent forest and distance variables(road, population center, river, mine, oilfield)

Implications for SocioBosque

- 2008-2011: 195 (individual)
 & 16 (community)
 agreements
- Deforestation slowed before active implementation

	Priority 1	Priority 2		
Total area (km²)	8,651.7	11,701.3		
Forest base, 1990 (km²)	7,237.7	9,607.3		
Forest base, 2000 (km²)	6,269.0	7,957.7		
% deforested, 1990-2000	14.5	18.9		
% deforested, 2000-2008	5.9	5.4		
% area in tenure categories				
Protected forest (BP)	2.3	0.5		
BP-INDIG	3.4	3.8		
Forest patrimony (PF)	9	4.3		
PF-INDIG	13.6	16.3		
Indigenous	38.3	41.9		
Private-MAGAP	32.8	33.3		

- Tenure form can play a role in slowing | accelerating forest loss
- Opportunity with forest patrimony (PF) (and overlap with indigenous) areas (yet 10% these lands lack title, a ntl level)
- Issue of additionality: close monitoring needed

Broader lessons for research moving forward?

- The relationship between tenure form & forest change = complex & dynamic
- The form of tenure does matter and its relationship can shift
- Overlapping forms did not signal increased forest loss
- Looking beyond deforestation effectiveness

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Thank you!

Institutional affiliations











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Funding sources





