

Presentation given the TransLinks workshop:

Modeling and Managing Watersheds

September 13-16, 2011

Kigali, Rwanda

Umubano Hotel, Boulevard de l'umuganda

This workshop was hosted by the Wildlife Conservation Society, the United States Forest Service (USFS) and the United States Agency for International Development (USAID)



USAID
FROM THE AMERICAN PEOPLE



This workshop was generously supported by the American people through the United States Department of Agriculture (USDA) Forest Service and the United States Agency for International Development (USAID), under the terms of the TransLinks Cooperative Agreement No.EPP-A-00-06-00014-00 to the Wildlife Conservation Society (WCS). TransLinks is a partnership of WCS, The Earth Institute, Enterprise Works/VITA, Forest Trends and the Land Tenure Center. The contents are the responsibility of the authors and do not necessarily reflect the views of USAID or the United States government.



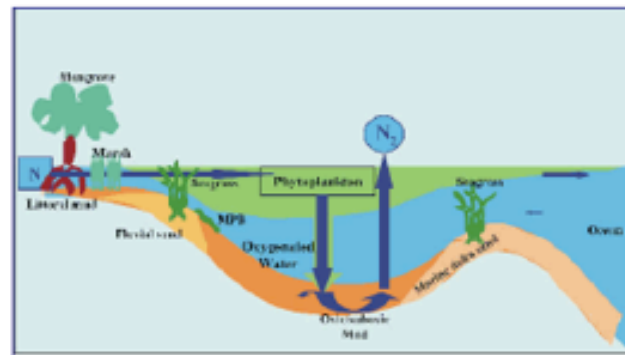
MODELING ECOSYSTEM SERVICES WITH ARIES

JON ERICKSON & BRIAN VOIGT

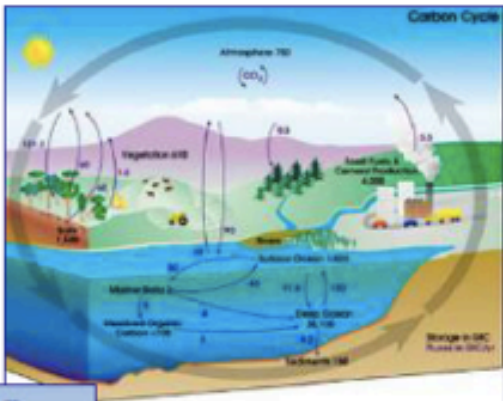
GUND INSTITUTE FOR ECOLOGICAL ECONOMICS
UNIVERSITY OF VERMONT
BURLINGTON, VERMONT USA



Flood Attenuation/
Storm Surge Protection



Biogeochemical Cycling



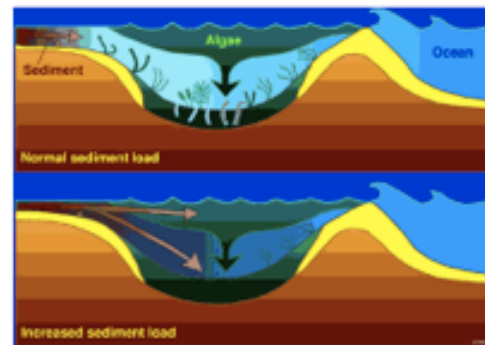
Atmospheric and Climate
Regulation



Recreation / Aesthetic



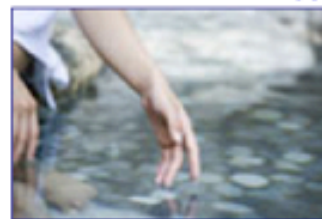
Food and Fiber



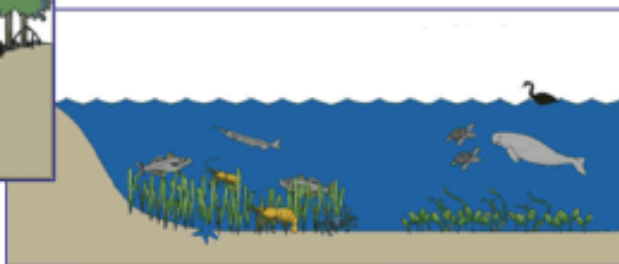
Soil and Sediment Regulation



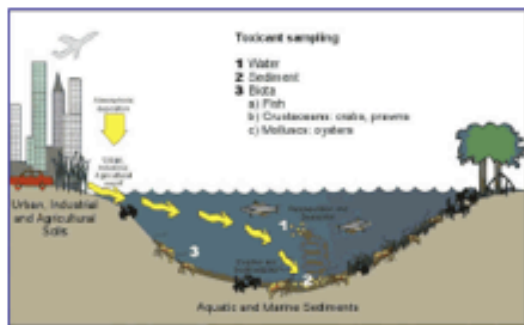
Water Quality and Supply



Pest and Disease
Regulation

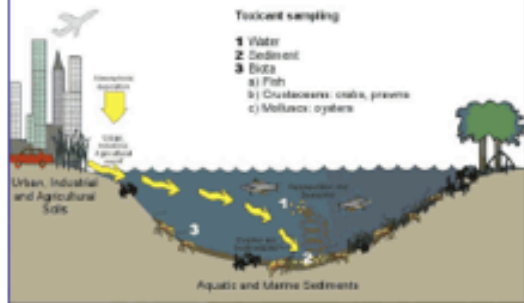


Habitat/Fisheries



Waste Regulation

- Toxicant sampling
- 1 Water
 - 2 Sediment
 - 3 Biot
- a) Fish
b) Crustaceans: crabs, prawns
c) Molluscs: oysters



Role of Modeling

1. Scoping Models

High generality, low resolution, broad participation by all stakeholder groups.

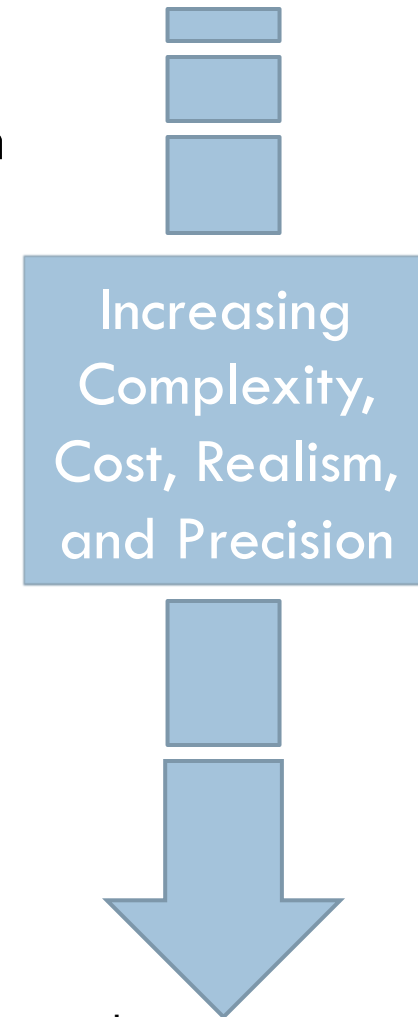
2. Research Models

More detailed and realistic attempts to replicate the dynamics of a particular system of interest, with emphasis on calibration and testing.

3. Management Models

Medium to high resolution. Emphasis on producing future management scenarios. Can be exercising #1 or #2, or require further elaboration to apply management questions.

Source: Costanza, R. and M. Ruth, "Using Dynamic Modeling to Scope Environmental Problems and Build Consensus," *Environmental Management* 22: 183-195, 1998.

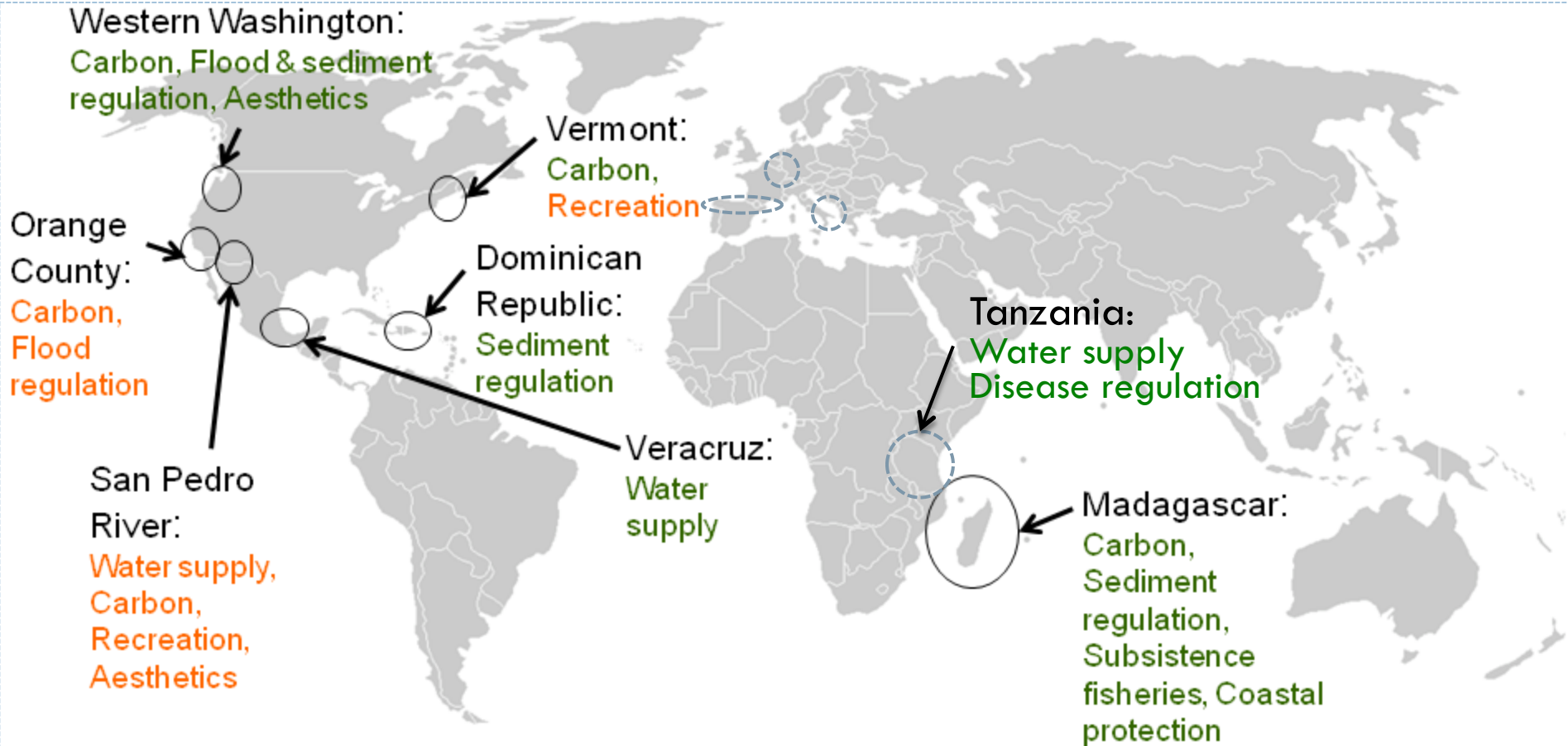


ARIES: A Brief Overview

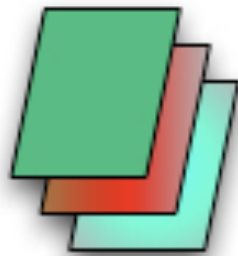
ARtificial **I**ntelligence for **E**cosystem **S**ervices

- **Assessment** toolkit for ecosystem services (ES) and their values
- Not a single model, but an **intelligent system** that customizes models to user goals.
- A mapping process for ecosystem service **provision, use, and flow**.
- Includes both **deterministic** and **probabilistic** models to inform decision-makers of likelihood of possible outcomes.
- **Web-based**, customizable for specific user groups, geographic areas and policy goals.
- Target **audience** includes researchers, governmental decision makers and policy makers, corporate environment and sustainability offices.

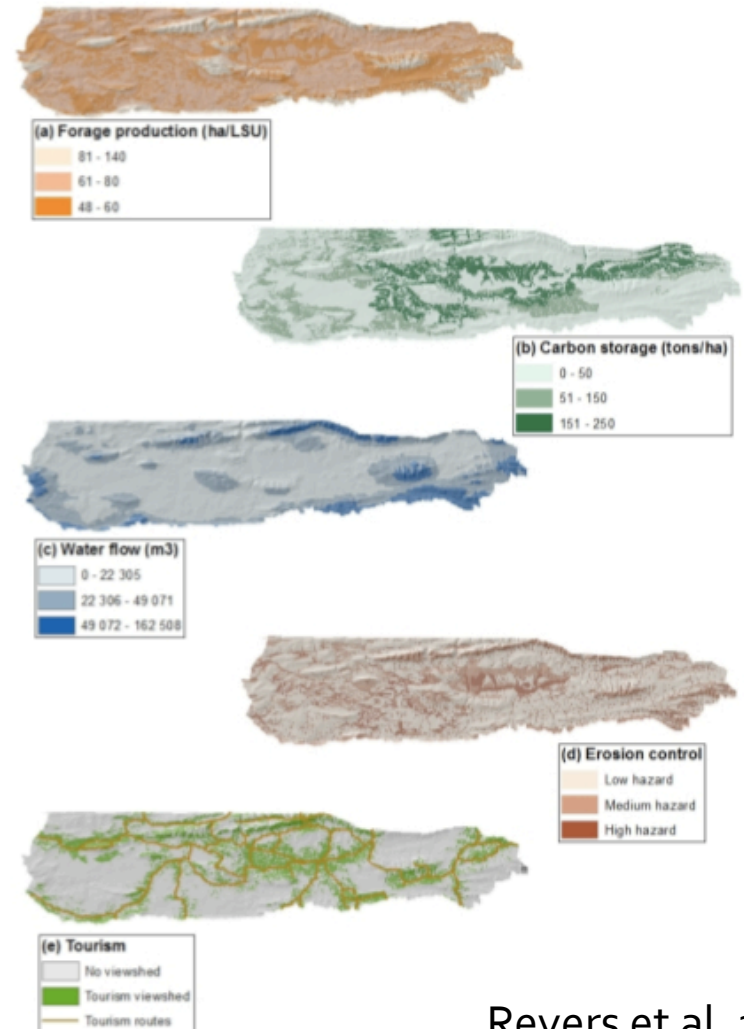
Case Study Sites



ES Assessment: State of practice



GIS database



Soil erosion = f (rainfall, soil depth, soil texture, slope, vegetation type)

ARIES ES modeling elements

1. Areas of provision of ES and biodiversity



Provision Sheds



3. Flow paths between provision and use areas

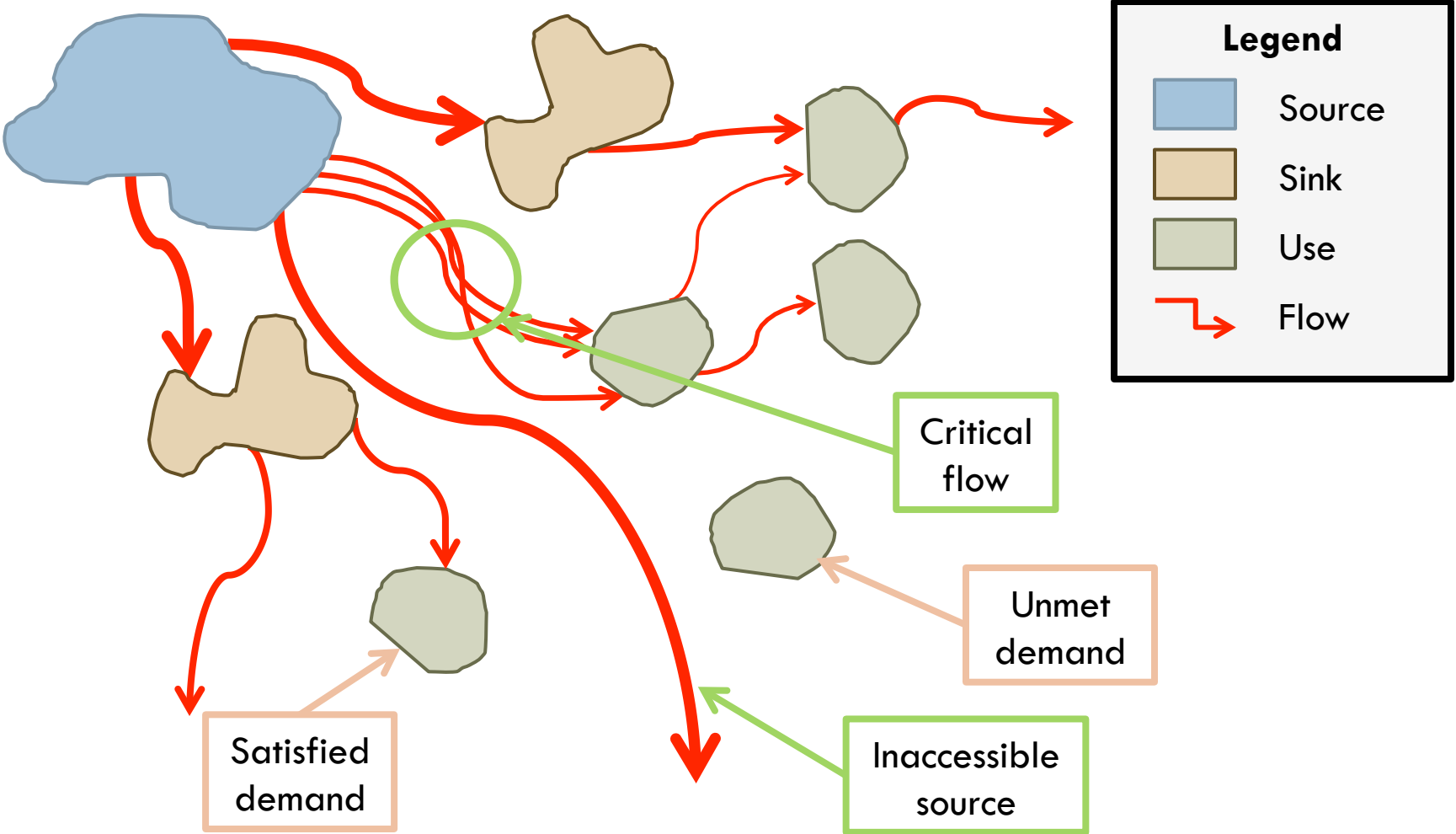


2. Areas of use of ES & biodiversity where beneficiaries are located



Benefit Sheds

Ecosystem Service Flows



Enabling technology:

The integrated modeling platform

Multi-scale variability (context)

SPATIAL

Vector vs. raster, projections, resolutions

TEMPORAL

Continuous vs. discrete, regular vs. irregular

STRUCTURAL

Aggregation, choice of variables

Multi-representation

Deterministic

Probabilistic

Classifications

Measurements

Rankings

Currencies

Binary

Explicit Semantics

Multi-paradigm

Agent-based

DDE,
process-based

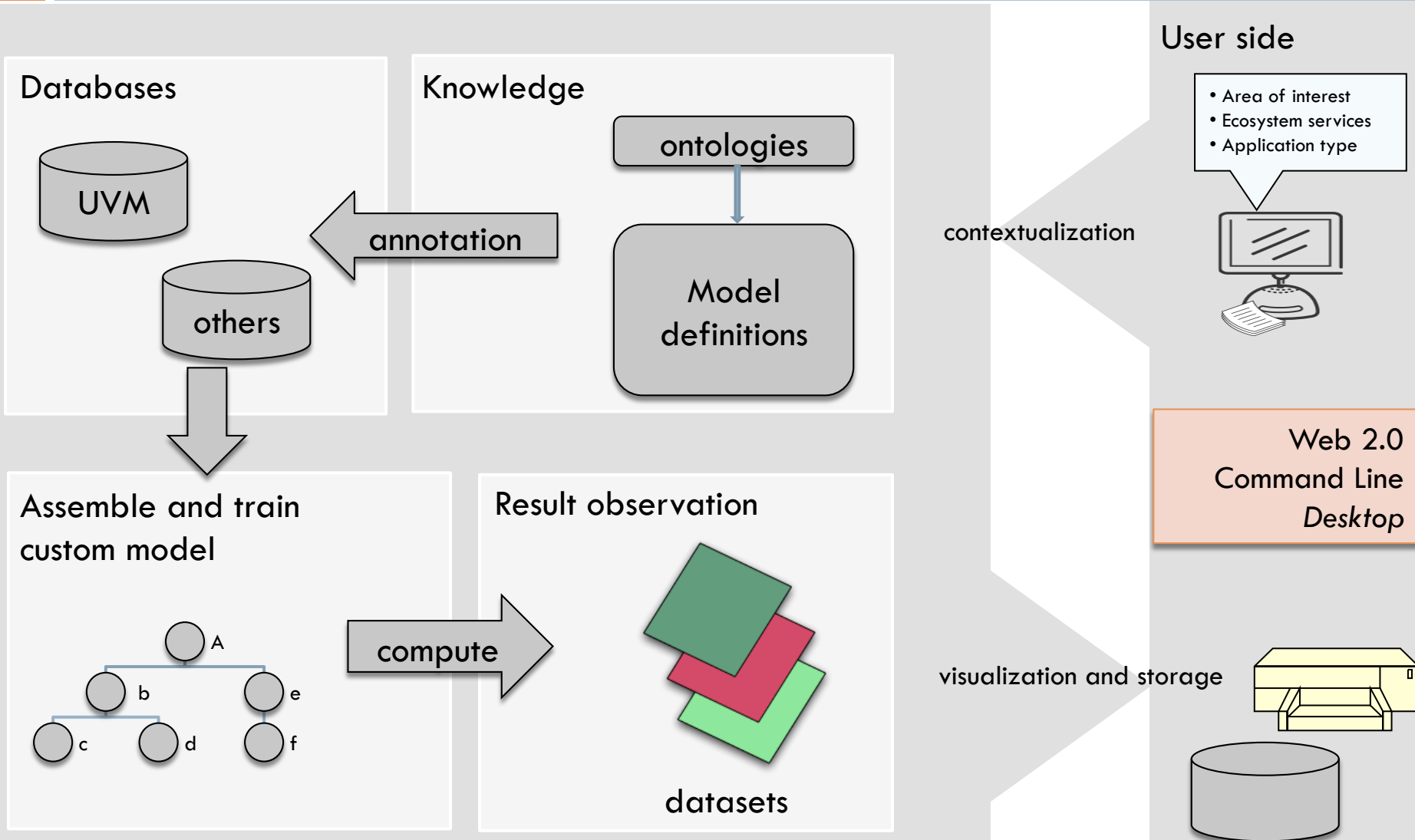
Bayesian
networks

Static (GIS)

...

Semantically annotated data & models -> True Modularity, Substitutability
Content mediation and propagation -> Automatic Scaling & Matching

Session workflow



ARIES: a web-based ES analysis tool

Interface through web browser

Probabilistic models

carry & report uncertainty estimates, work in regions with incomplete data

Accounts for spatial flows of ecosystem services from provision to beneficiaries

Modeling system

designed to interface with existing ecological process models

services

POWERED BY Google

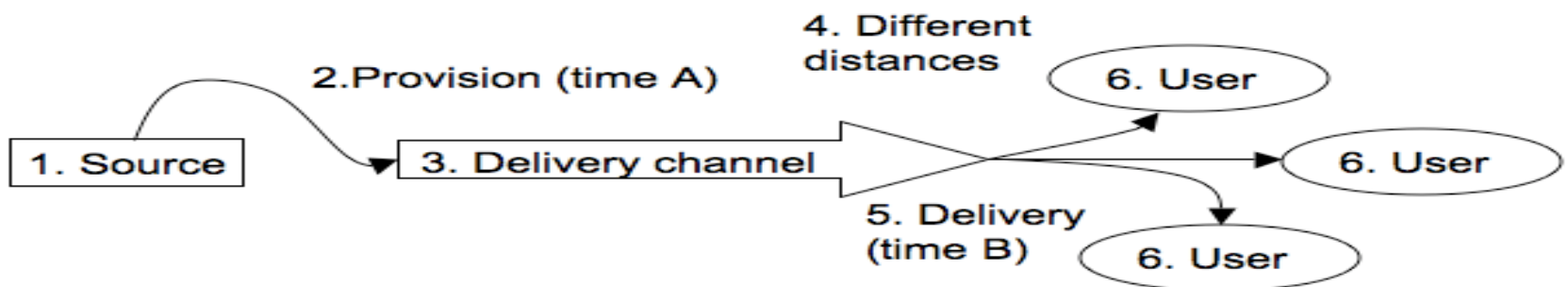
Map data ©2009 Tele Atlas - [Tele Atlas](#)

Select the region of interest using the map and the drawing toolbar. Click continue when done.

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The ARIES Modeling Process

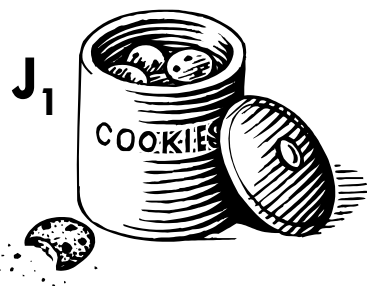
1. Collect spatial data
2. Identify beneficiaries
3. Identify carriers (matter, energy, or information)
4. Develop Bayesian models for source, sink, and use
5. Develop flow models to move services between ecosystems and people



1. Collecting spatial data

- GIS data for as many components as possible to map source, sink, and use
 - ▣ Raster or Vector
- Local data where possible, otherwise global
- Where no data exists, use **Bayesian prior probabilities** or base assumptions on training data from a similar contextual setting where full dataset exists

Bayesian Inference



10 chocolate chip
30 plain cookies



20 chocolate chip
20 plain cookies

Experiment

Steve picks a jar at random, and then a cookie at random.
The cookie is plain.

What's the probability that Steve picked from jar #1?

Prior

Probabilities

$$P(J_1) = P(J_2) = 0.5$$

Event

E = observation of plain cookie

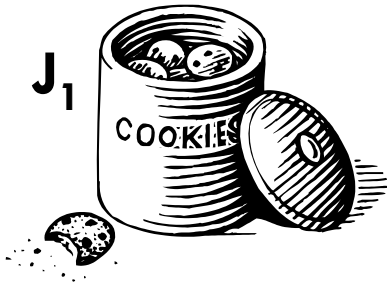
Conditional

Probabilities

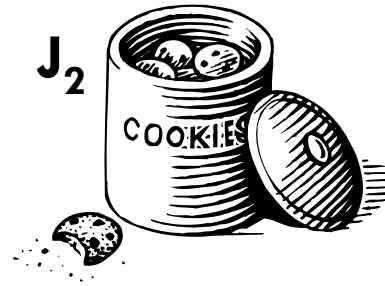
$$P(E | J_1) = 30/40 = 0.75$$

$$P(E | J_2) = 20/40 = 0.50$$

Bayesian Inference



J_1
10 chocolate chip
30 plain cookies



J_2
20 chocolate chip
20 plain cookies

Experiment

Steve picks a jar at random, and then a cookie at random.
The cookie is plain.

What's the probability that Steve picked from jar #1?

Bayes Theorem

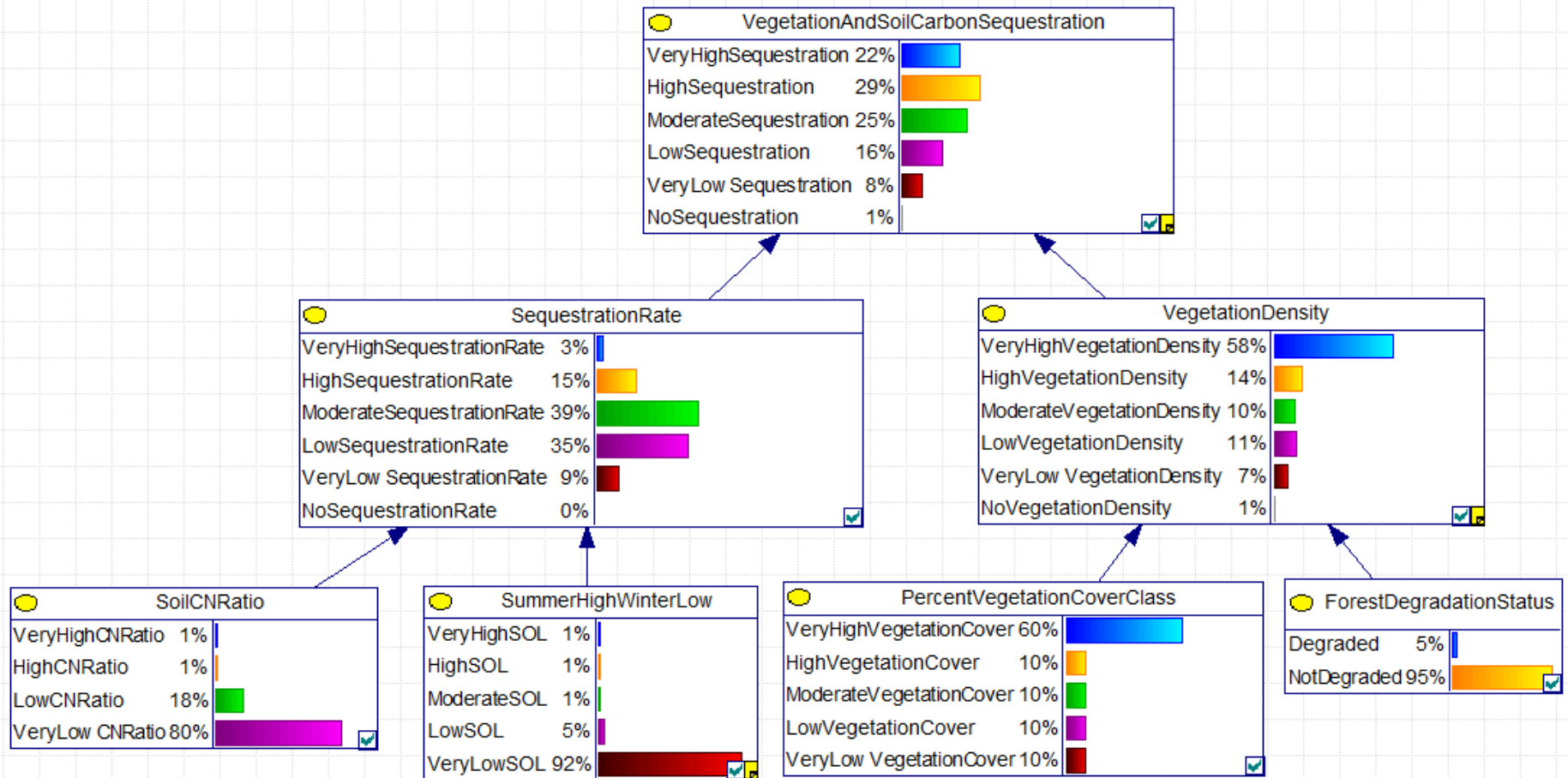
$$P(J_1 | E) = \frac{P(E | J_1) P(J_1)}{P(E | J_1) P(J_1) + P(E | J_2) P(J_2)}$$

Posterior Probability

$$P(J_1 | E) = \frac{0.75 \times 0.5}{0.75 \times 0.5 + 0.5 \times 0.5} = 0.6$$

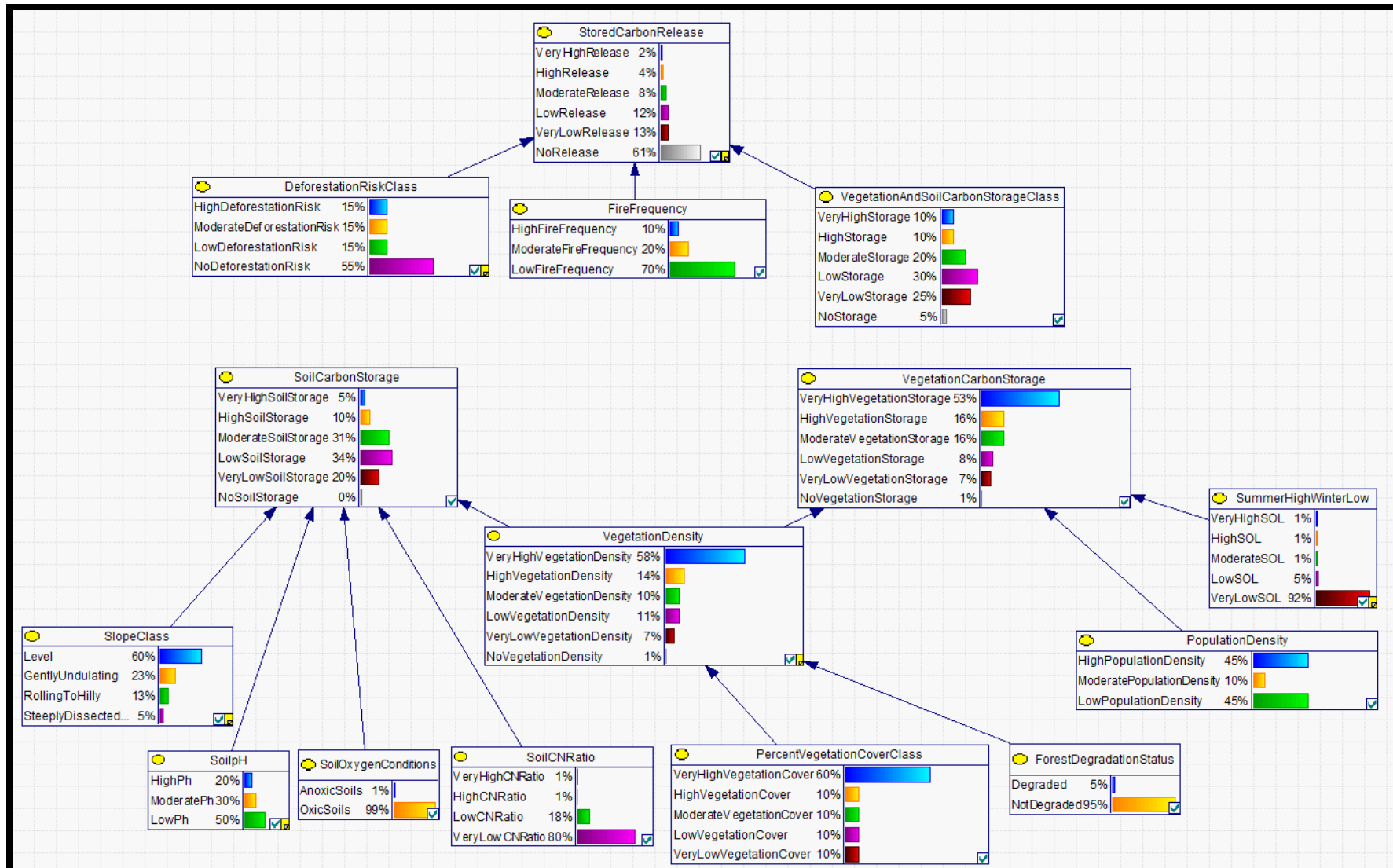
Example: Carbon Sequestration

Carbon Source and Sink Models as Bayesian Networks



Example: Carbon Sequestration

Carbon Source and Sink Models as Bayesian Networks



Example: Carbon Sequestration

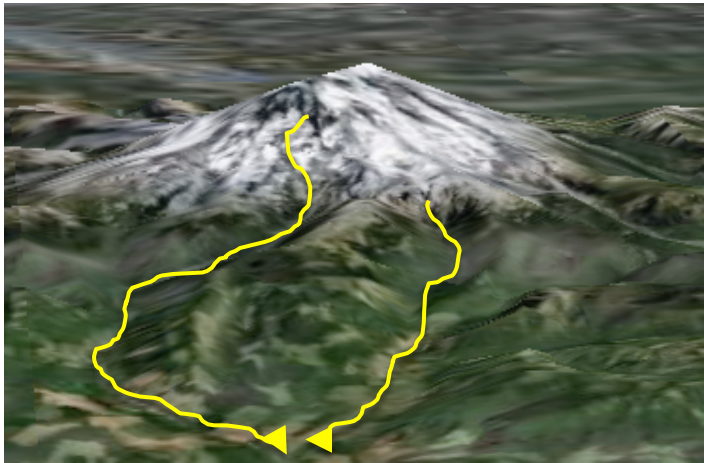
Carbon *Use* Model as GIS Database

Layer	Source	Extent	Resolution	Year
GHG emissions	VULCAN Project	USA	10 x 10 km	2002
Per capita emissions	EIA	Global	Non-spatial	2006
Population density	LANDSCAN	Global	30 arc-second	2006

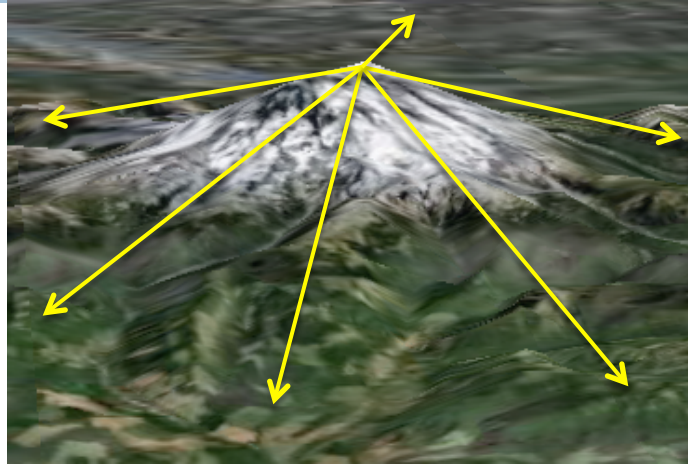
2. Identifying beneficiaries

Ecosystem Services	General Beneficiary Class	Specific Beneficiary Group
Carbon sequestration & storage	Groups vulnerable to climate change	Coastal populations, snowmelt dependent populations, farmers, etc.
	Users of atmospheric CO ₂ absorption	Greenhouse gas emitters
Aesthetic value	Scenic views	Homeowners with scenic views
	Proximity to open space	Homeowners near open space
Soil retention	Non-eroded systems	Farmers on erodible land
	Areas benefiting from sedimentation	Some floodplain farmers
	Non-sedimented systems	Some farmers, fishermen, hydro utilities, etc.
Disturbance regulation	Flood protection	Floodplain residents, farmers, public & private property owners
	Storm surge protection	Same groups as above
	Mudslide/avalanche protection	Same groups as above
Provision of adult salmon	Cultural icon	Native Americans, watershed residents, U.S. citizens
	Food source	Native Americans, subsistence fishermen, consumers
	Recreational amenity	Recreational fishermen, wildlife watchers

3. Identifying ES carriers

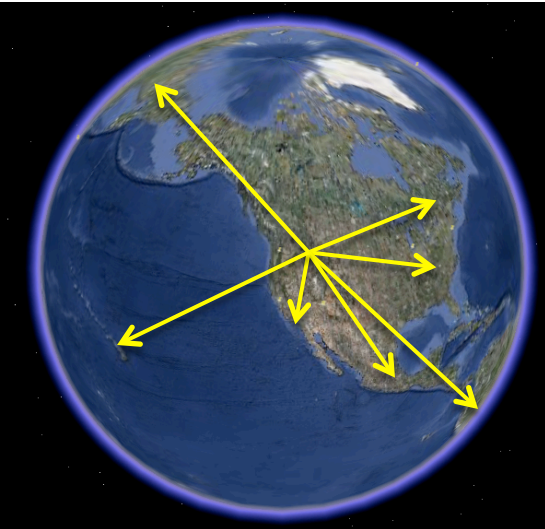


Hydrologic services



Aesthetic viewsheds

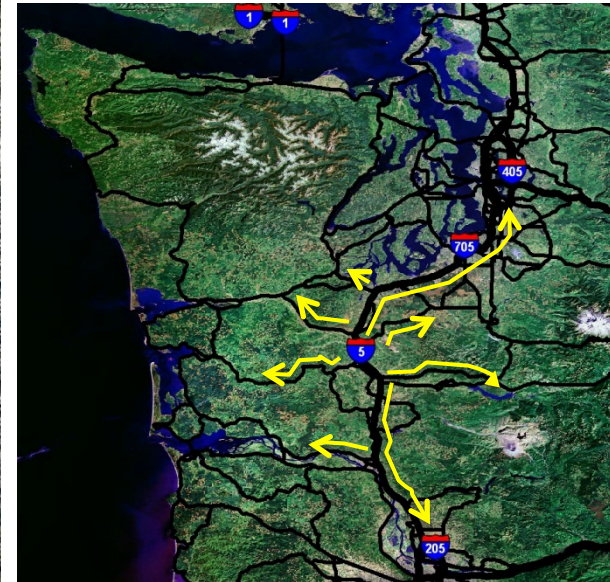
Recreation, flood regulation, many ecosystem goods



Carbon sequestration, some cultural values

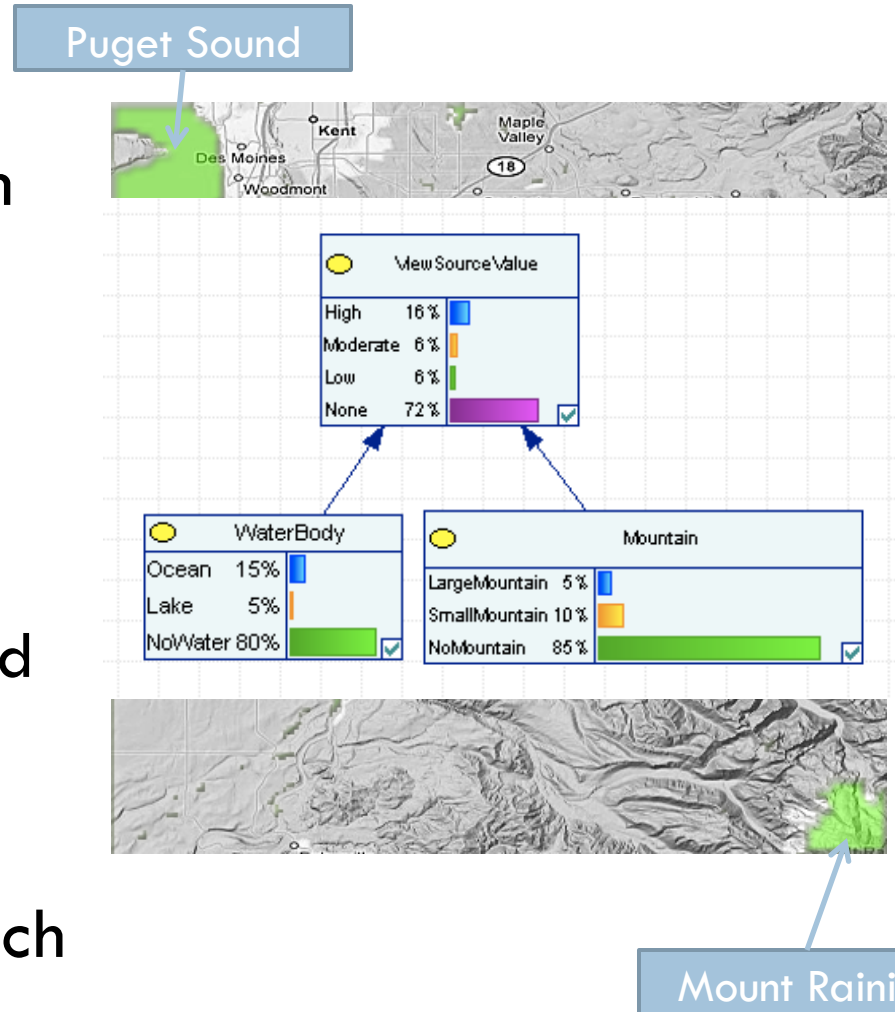


Recreation, aesthetic proximity, some cultural services



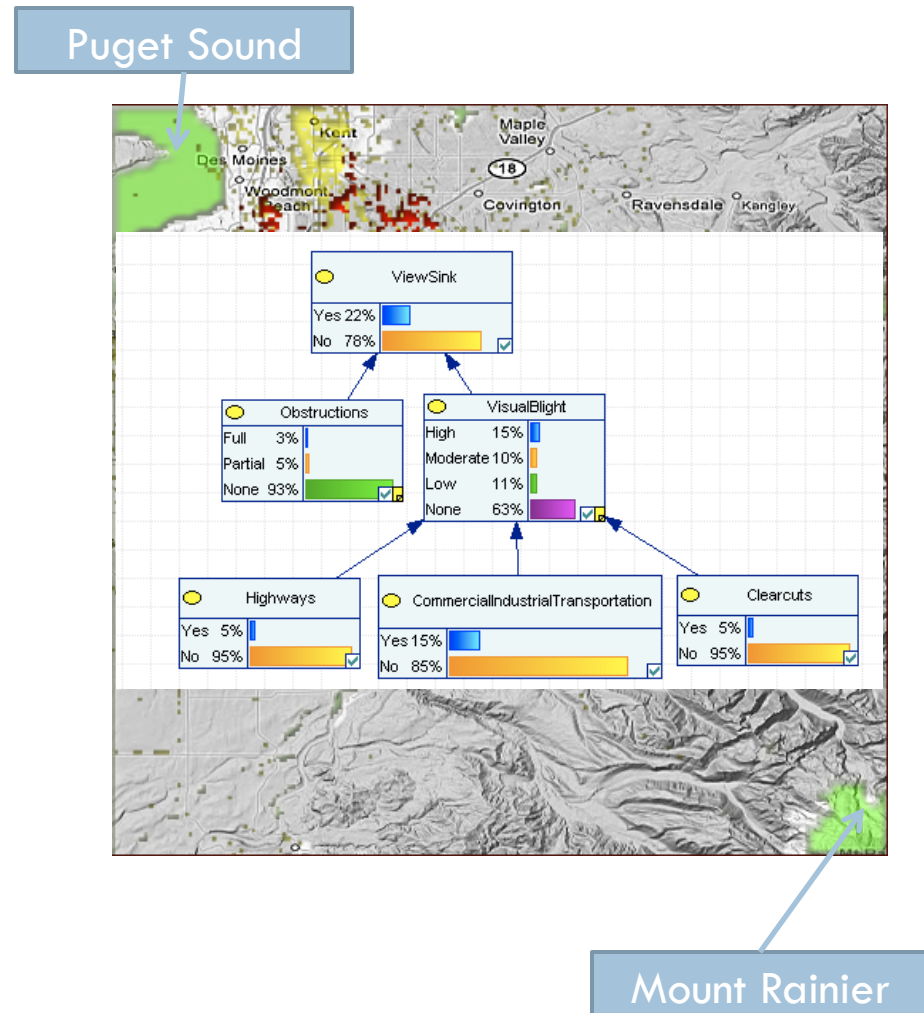
4. Modeling ES sources

- “Production function” of important ecological contributors to ES provision
- For entire model or inputs:
 - ▣ Use existing ecological models & their outputs
 - ▣ If no good models exist, build ad hoc models based on expert knowledge
- How much of a given benefit is produced for each landscape district?



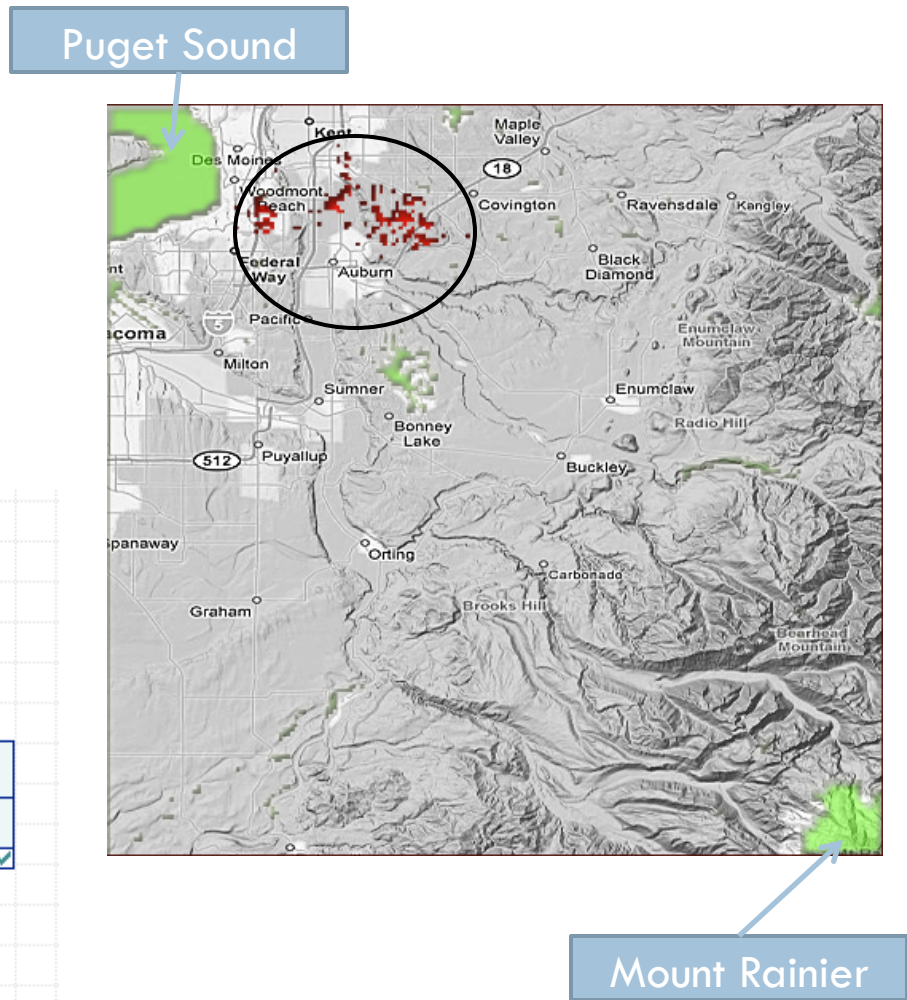
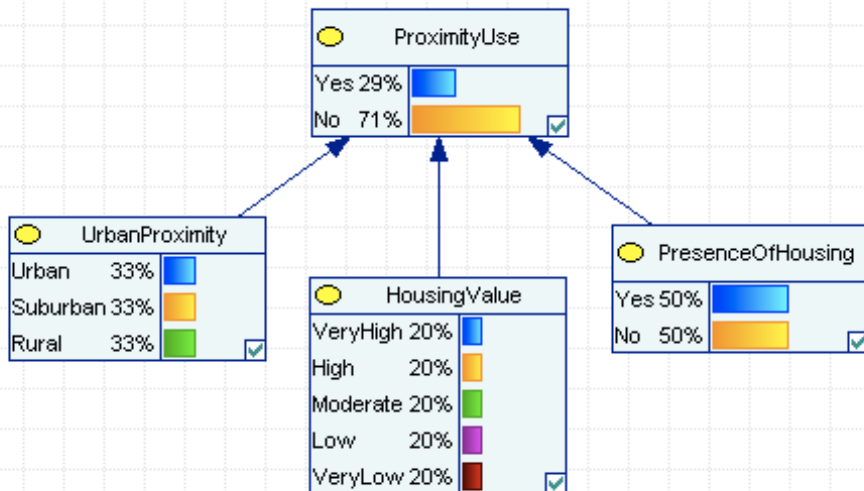
4. Modeling ES sinks

- Depending on the service, sinks could be beneficial / detrimental:
 - ▣ Absorption of flood water, nutrients (+)
 - ▣ Visual blight reducing the quality of views (-)



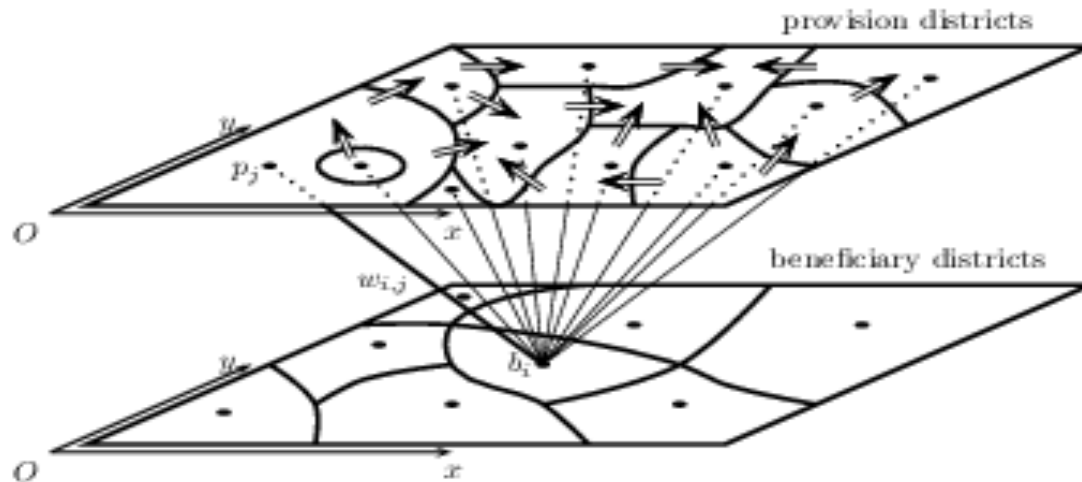
4. Modeling ES uses

- Similar process to modeling ES provision
 - ▣ How do we locate (potential) users of ES on the landscape?



5. Modeling ES flows

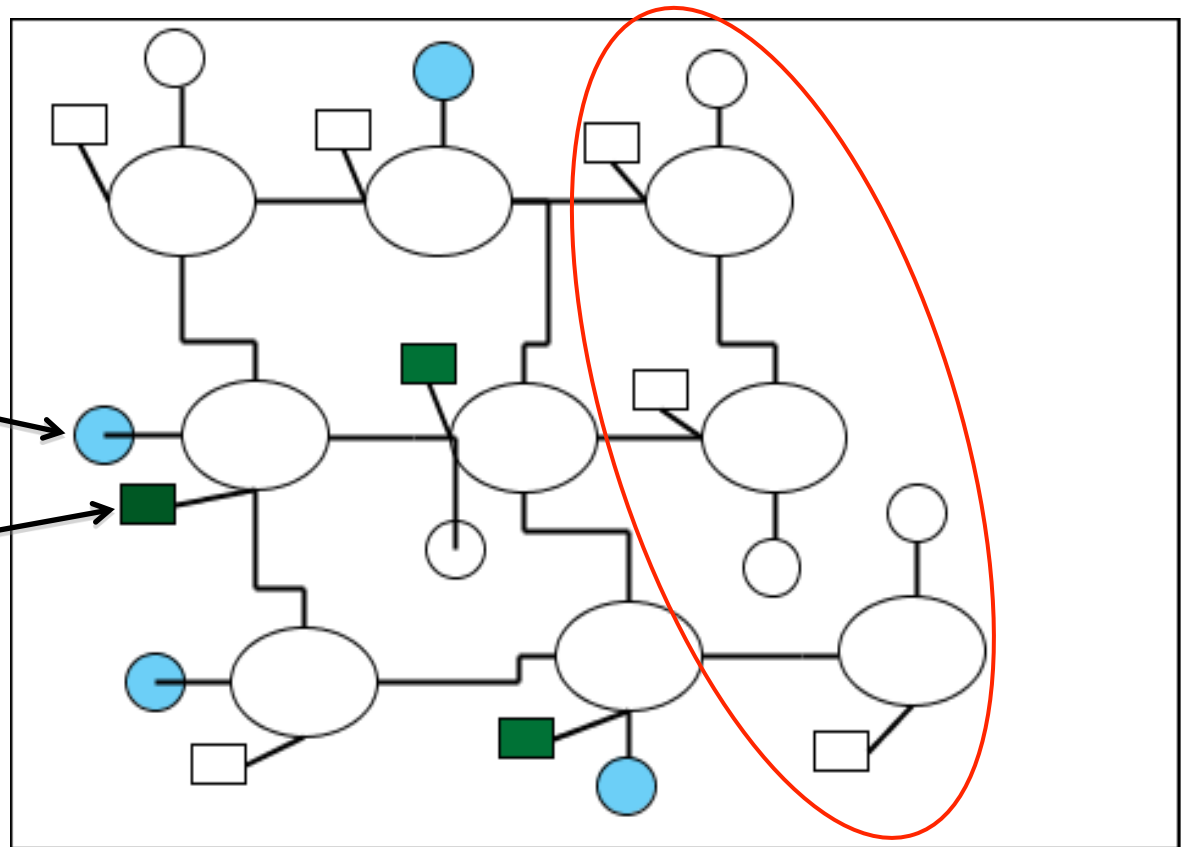
Service flows will accrue at use locations on the landscape



Note: Beneficiary regions may be of different scale than provisioning

5. Modeling ES flows

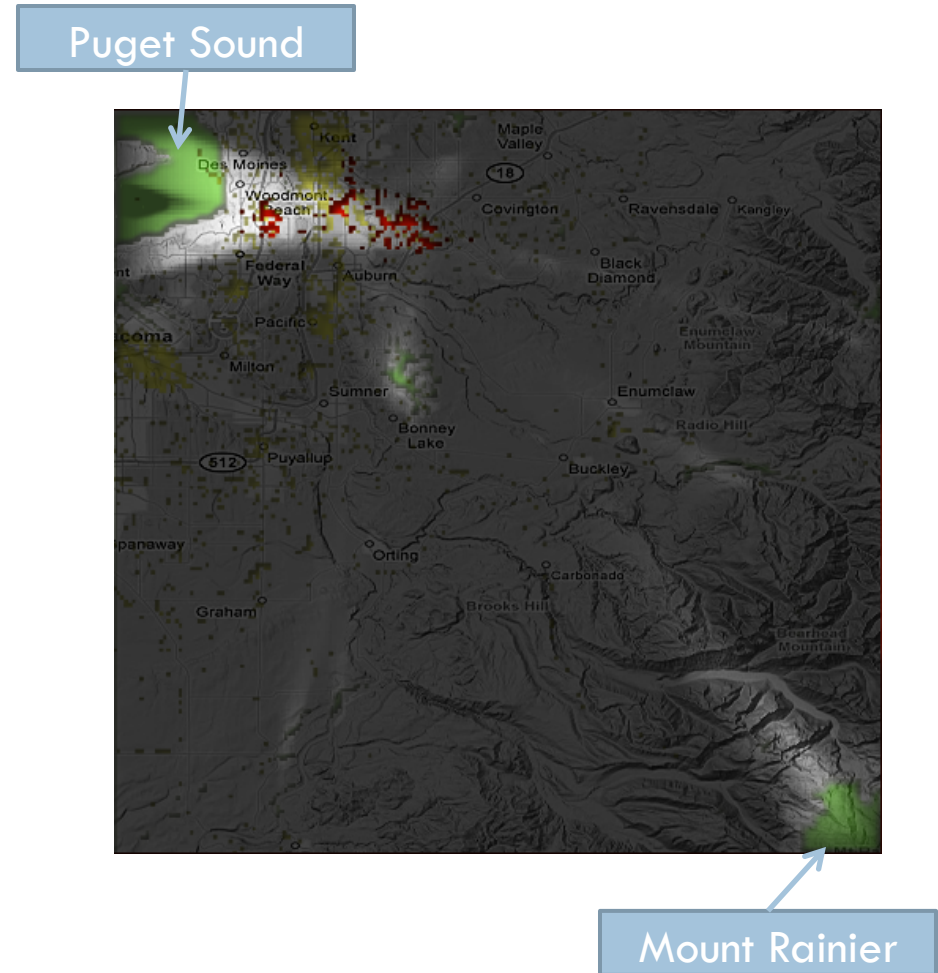
- Agent-based
- Initial condition informed by data / priors
- Each location contains:
 - SOURCE $\epsilon_{Carrier}$ used distribution
 - Sink and use rates & capacities $\epsilon_{Carrier}$ sunk
 - Sink cache
 - Use cache
 - Carrier cache



5. Modeling ES flows

Difference b/t theoretical & calculated provision

- The greater the flow, the more “illuminated” the area
- Each service path depends on:
 - Level of provision
 - Likelihood of use
 - Amount of loss (sink)

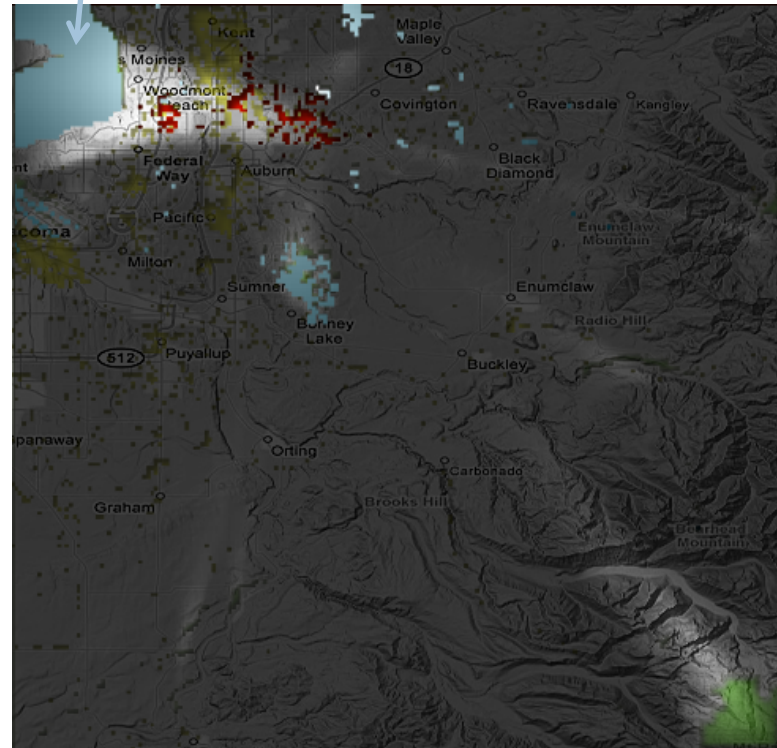


5. Modeling ES flows

Accessible Provisioning Flows

- **Blue** are *USABLE* components of the viewshed
- **Green** are *BLOCKED* to these beneficiaries due to blight or obstruction
- Scenario analysis to help understand consequences of locating further visual obstructions or relocating current ones

Puget Sound

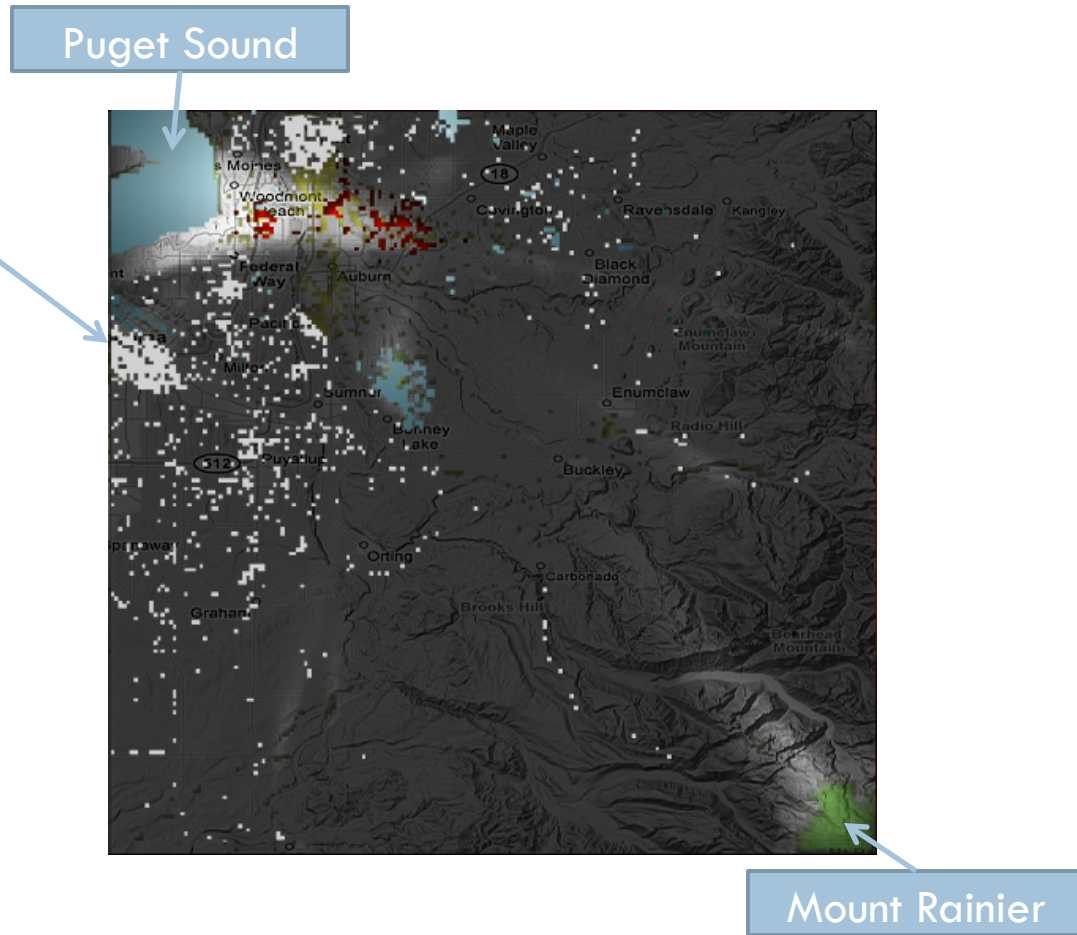


Mount Rainier

5. Modeling ES flows

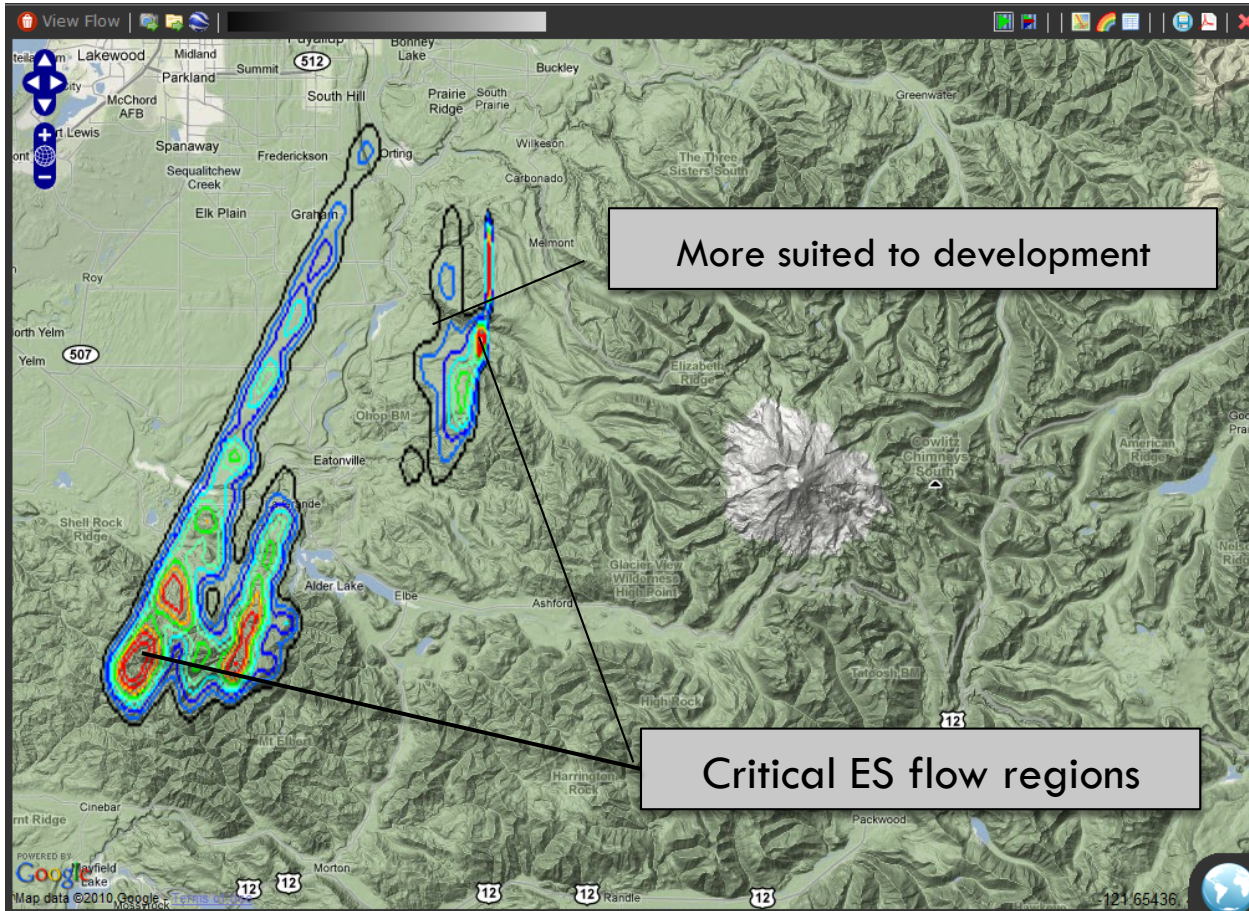
Inaccessible Sinks

- White dots are “negative” areas (ES sinks) that do not detract from service provision to a given beneficiary.
- Potential areas that will not affect service provision to this group of beneficiaries.



5. Modeling ES flows

Critical Flows Analysis



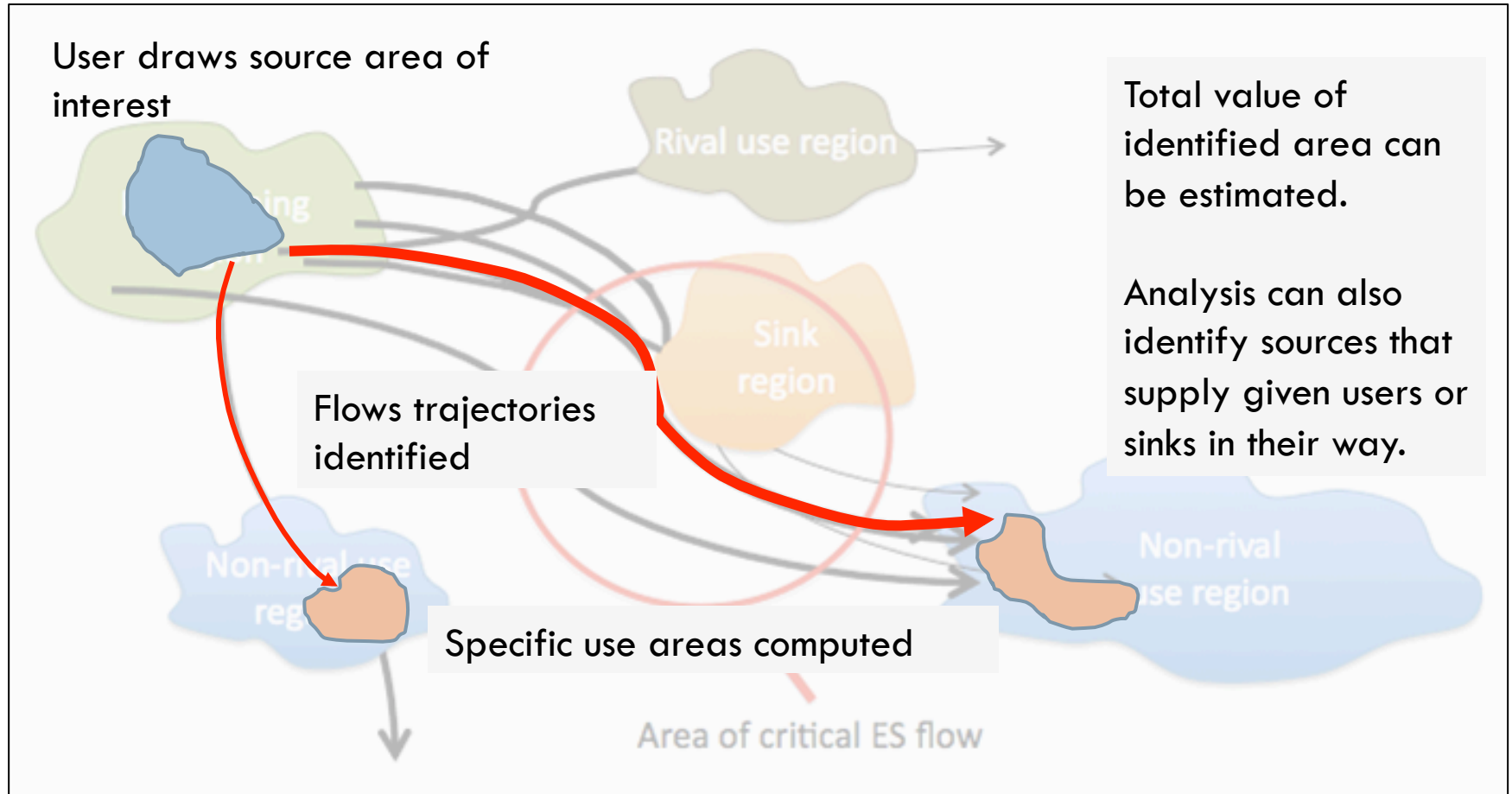
Critical flow paths show areas most critical to ensure ES flow to the intended beneficiaries.

Regions of high flow density should be protected or enhanced for positive impact

Regions of lower flow density can be developed without impacting ES provision.

5. Modeling ES flows

Targeting Areas



5. Modeling ES flows

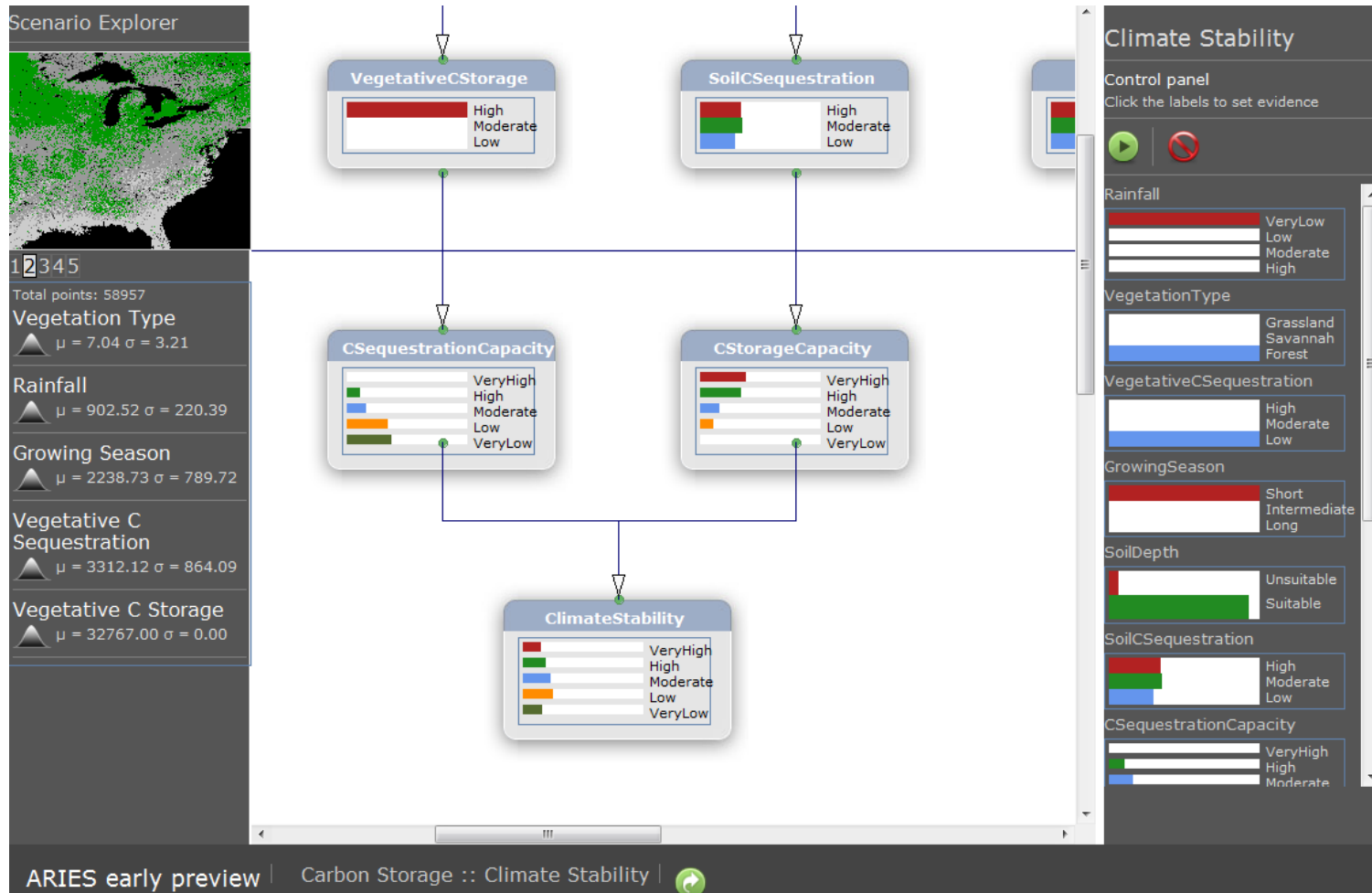
Novel Results

- Flow analysis yields maps to assist decision-making, such as **critical flow contours, unmet service demand or unused service production.**
- Quantification is based on flow **strength, use** and **provision.**
 - **Policy scenarios** can be analyzed by comparing such contextual information, resulting in more accurate, beneficiary-dependent, science-based estimates of values.
 - **Uncertainty** is preserved in flow computation and can be visualized.
- **Value transfer** can be done by comparing such contextual information, resulting in more accurate, beneficiary-dependent, science-based estimates of value.

Scenario analysis

Users can change levels of variables and view the effect on probability of ES provision

Scenarios can be saved and reports produced for each of them



Ex-ante scenario definition

Pre-defined GLOBAL SCENARIOS
e.g. IPCC climate change

Scenario editor

Global scenarios

IPCC HADLEY B1

This scenario represents the effects of the Hadley B1 IPCC climate scenario. The B1 world is a convergent world with the same global population as in the A1 storyline but with rapid changes in economic structures toward a service and information economy, with reductions in material intensity, and the introduction of clean and resource-efficient technologies.

Merge IPCC HADLEY B1

Editable parameters

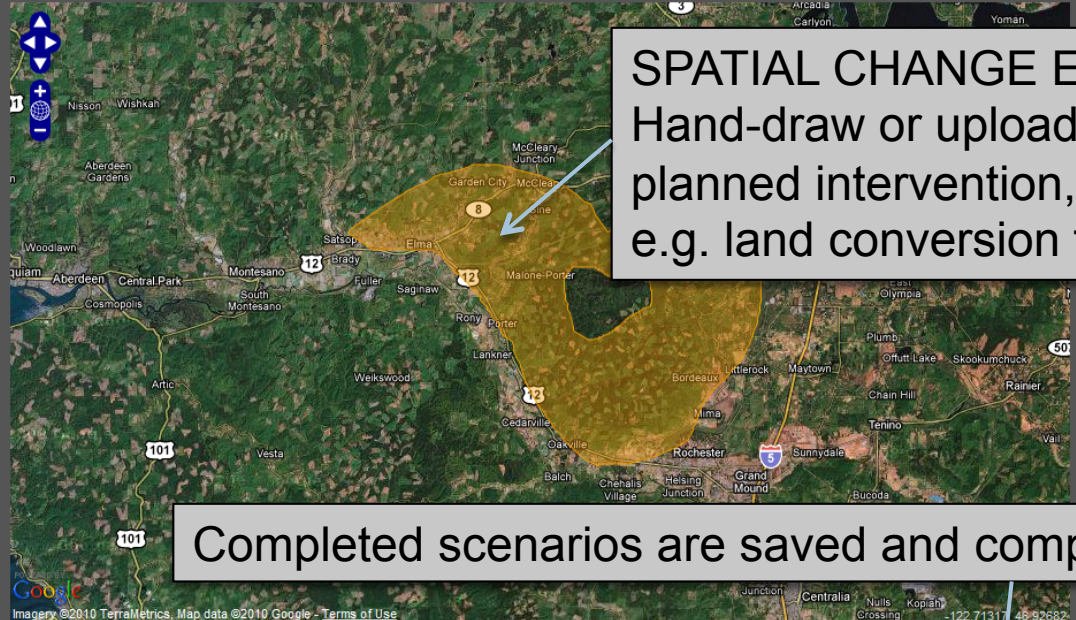
Sequestration relevance threshold
0 100 tons C/ha/yr

Use relevance threshold
0 100 tons C/ha/yr

Sink relevance threshold
0 100 tons C/ha/yr

MODEL PARAMETERS
and THRESHOLDS of
RELEVANCE (options,
law or governance
indications)

Policy options editor



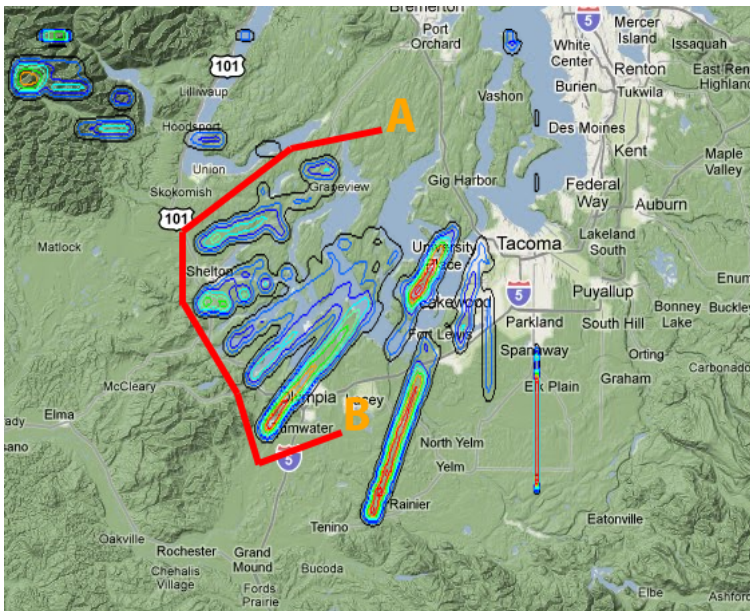
SPATIAL CHANGE EDITOR
Hand-draw or upload
planned intervention,
e.g. land conversion forest

Completed scenarios are saved and compared

Save Cancel

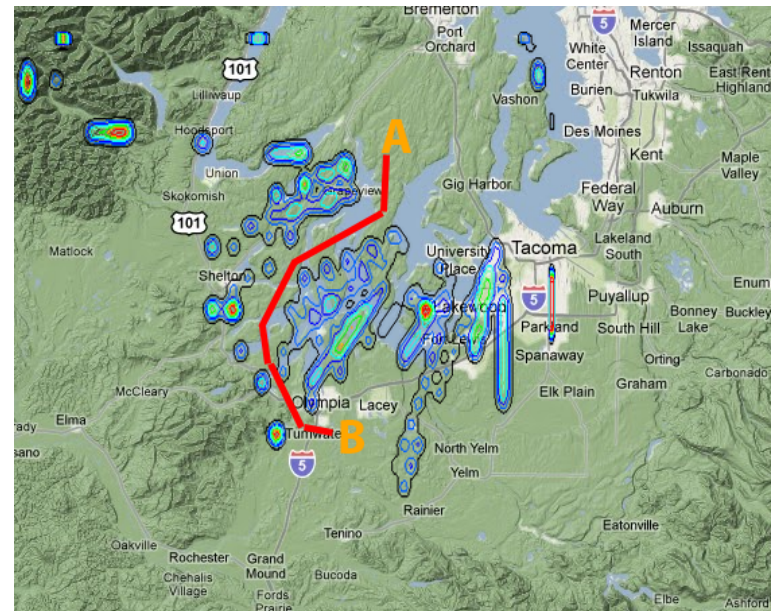
Routing linear features

Scenario 1: Baseline



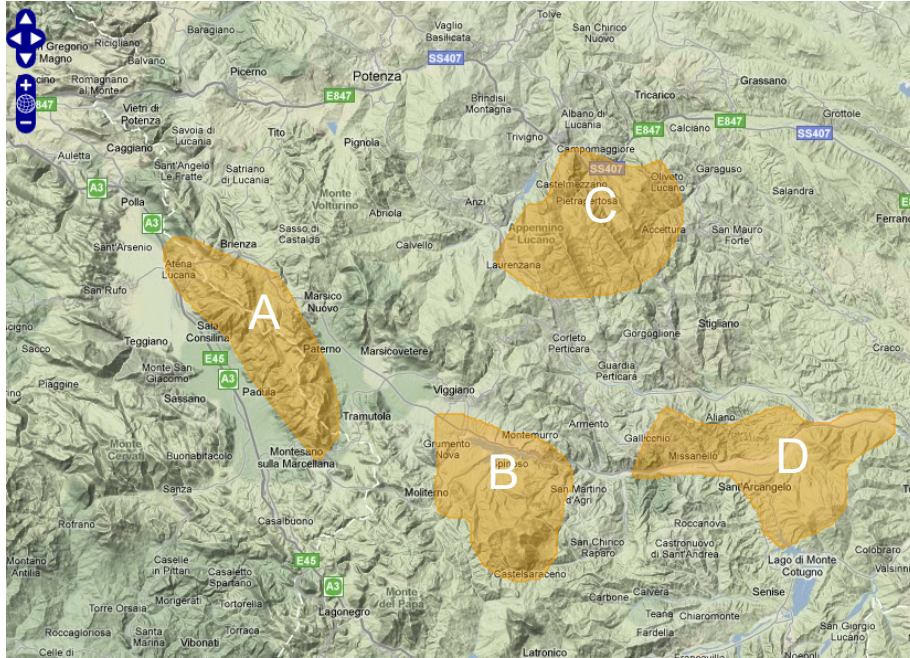
Routing that minimizes impact ES flows in *business as usual* scenario. Long feature required to avoid impacting water provision.

Scenario 2: Reforestation



Routing that minimizes impact on flows of ES with reforested corridors. Shorter feature offsets reforestation costs.

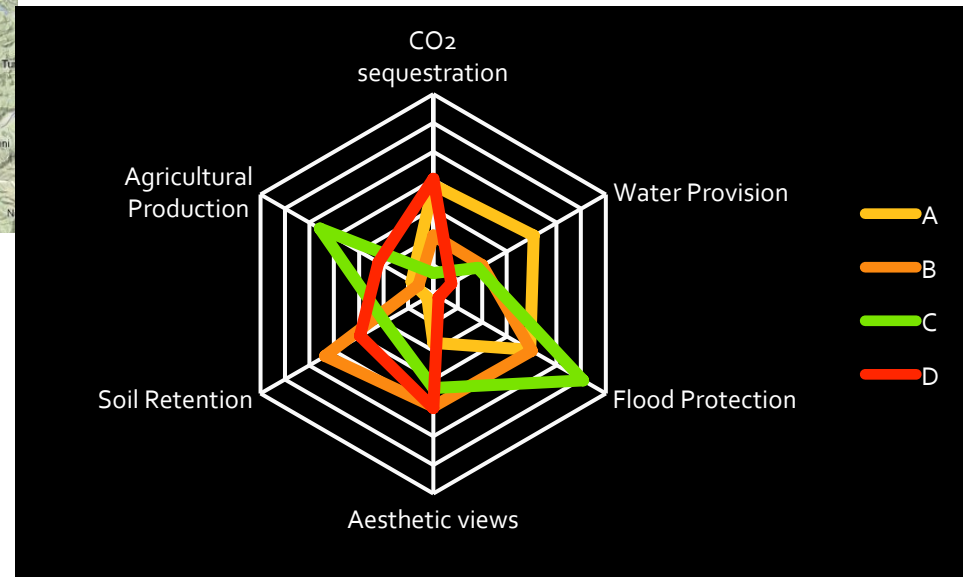
Identification and ranking of areas for offsetting impacts



Multiple Criteria analysis allows customizing the ES profiles to pre-existing priorities or legal constraints.

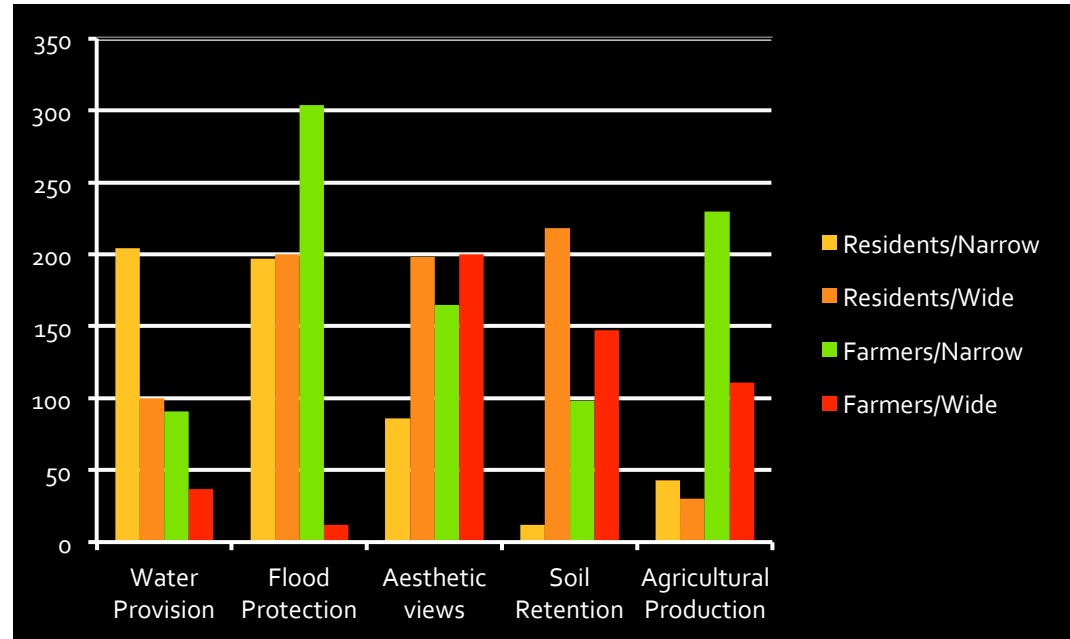
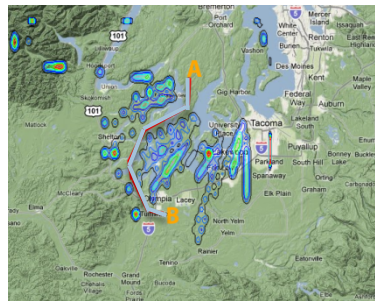
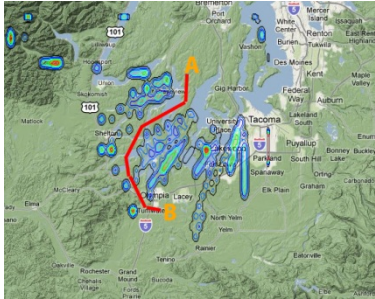
ARIES can produce a full ES profile for a set of areas under consideration for offsetting, under baseline or ex-ante intervention scenarios.

Such profiles help selection of areas and documentation of ES offsets.

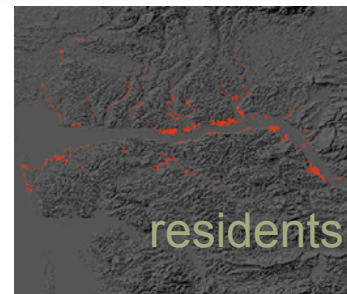
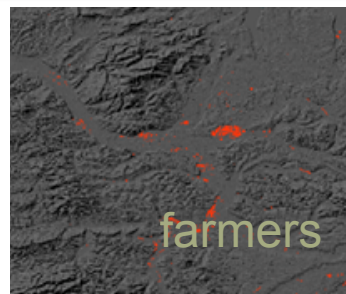


Stakeholder impacts

Quantify impact of alternatives on specific stakeholders



Two alternative options (different buffer zone widths) evaluated for impact on ecosystem services...



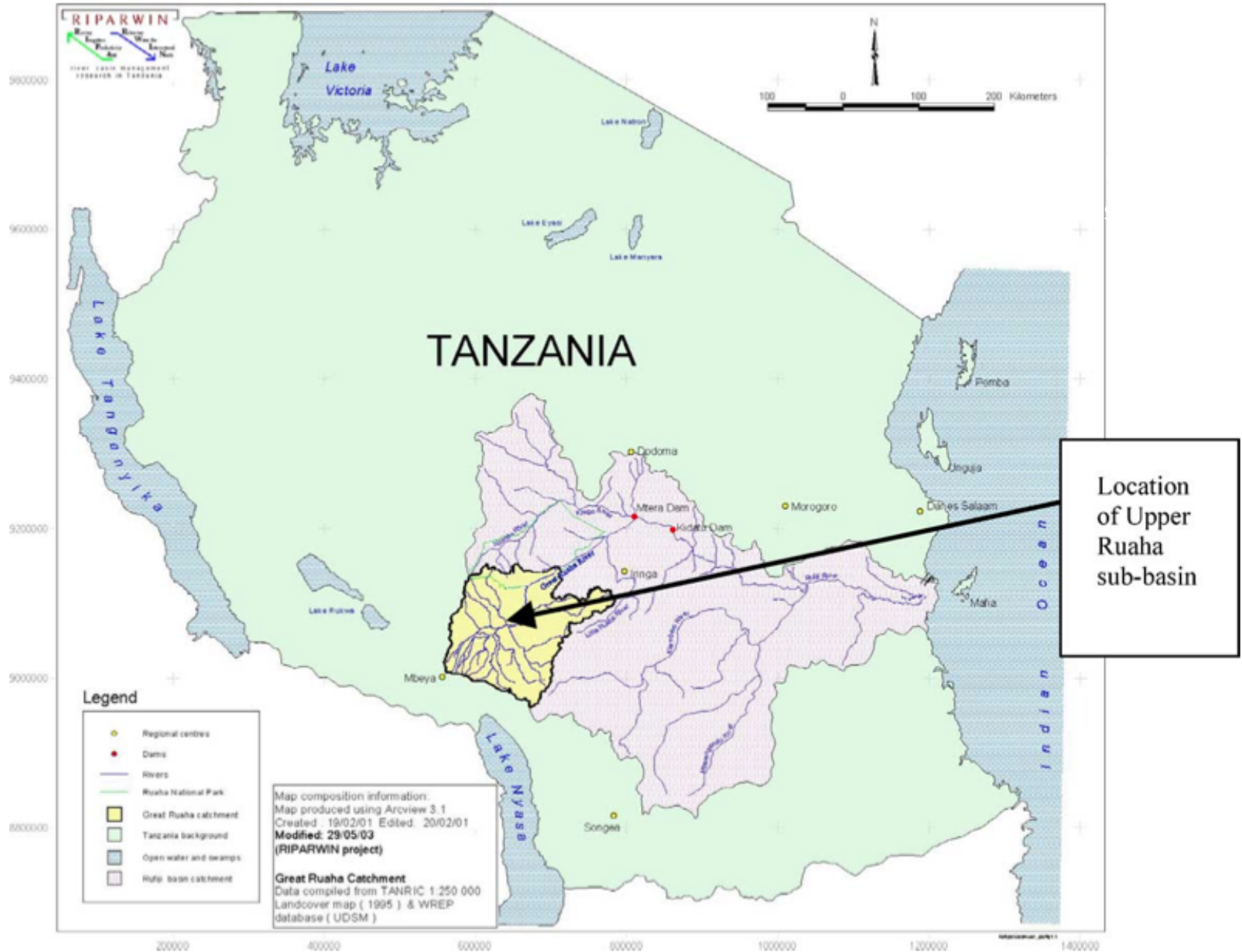
...against the different needs of two different stakeholder groups.



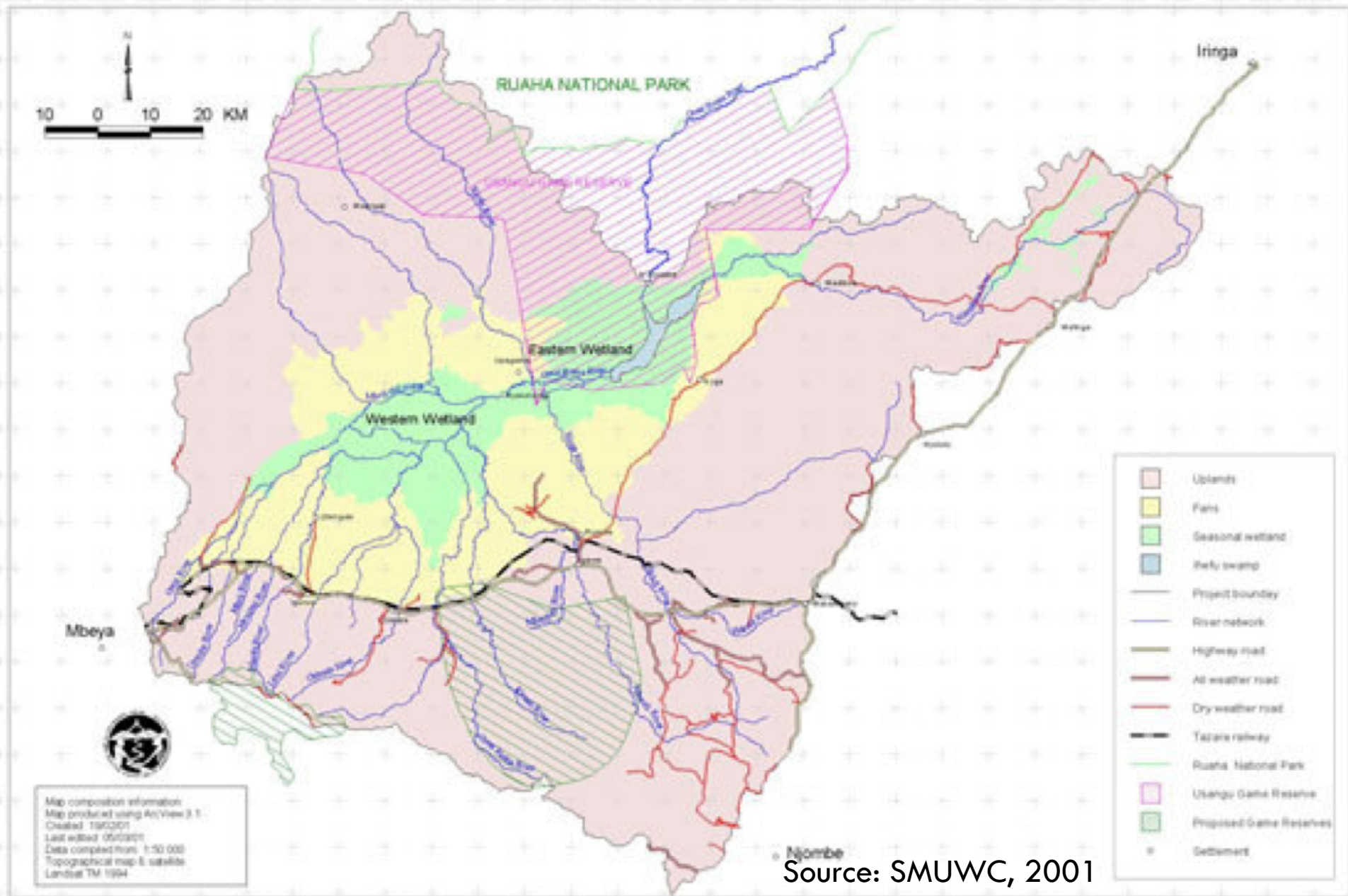
GREAT RUAHA RIVER BASIN



Rufiji River Basin



Upper Ruaha Sub-Basin / Usangu Wetlands



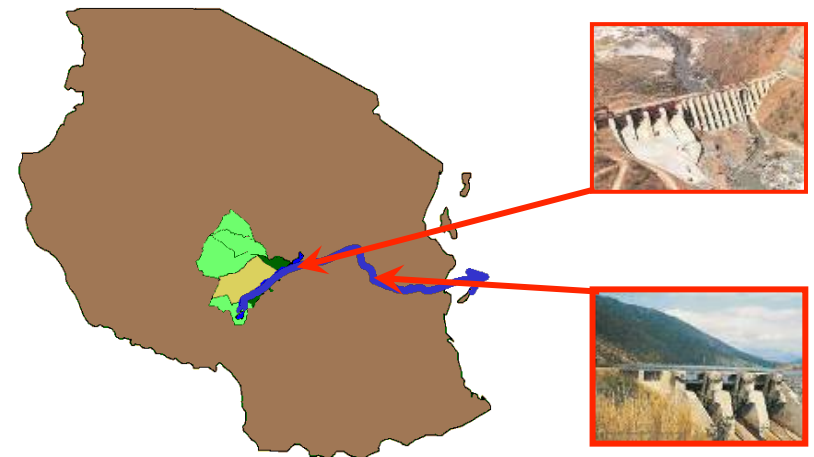
Importance of the Ruaha Landscape



Resources for Rural Livelihoods



Conservation Significance



National Development

Drying of the Great Ruaha River

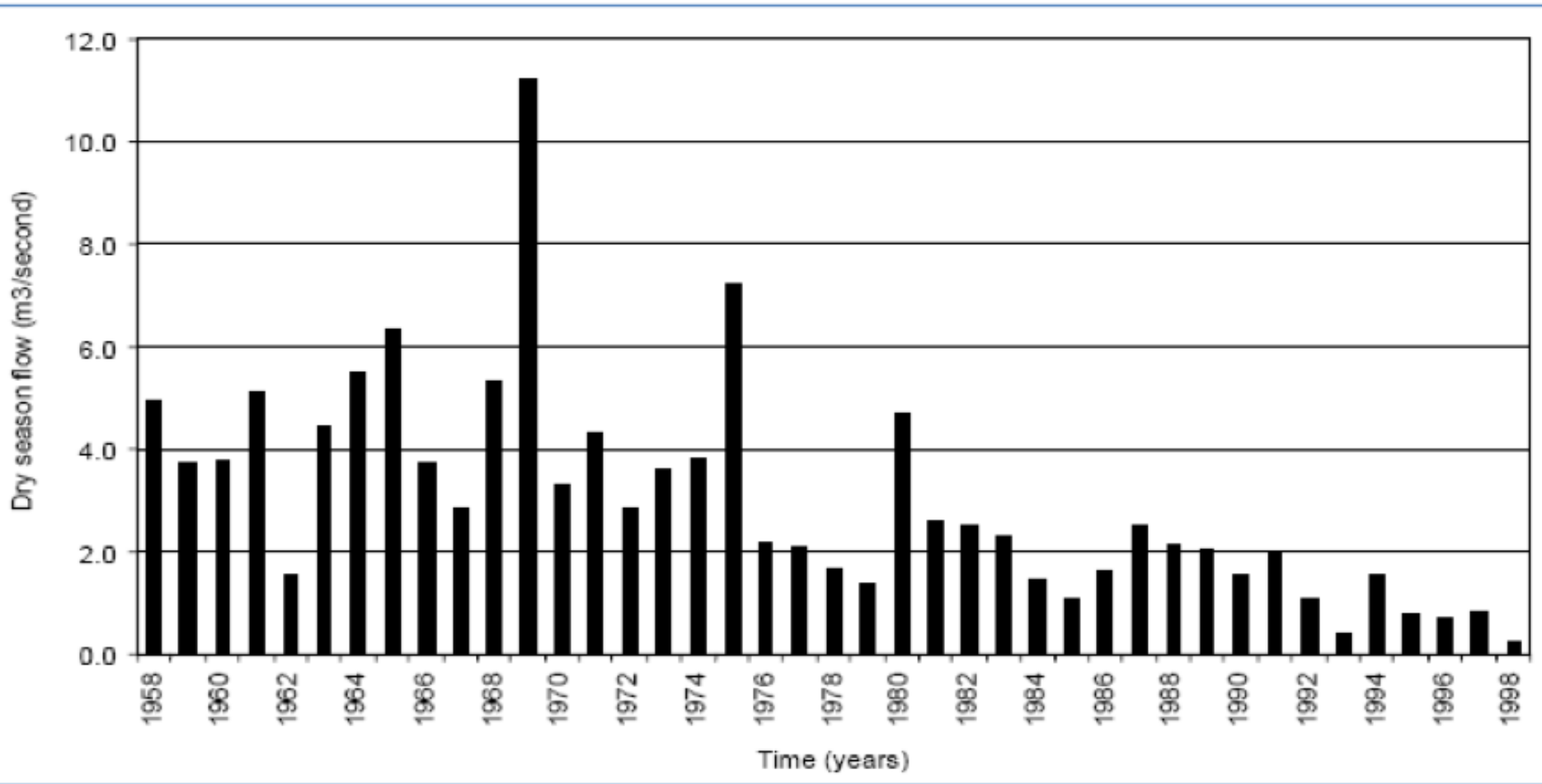
Pre-1993:
Flow of Great
Ruaha all year



2005:
119 days of
no flow



Average Dry Season Flow at Msembe Gauge 1958-1998



Source: SMUWC, 2001

Selected Studies

- 1960 FAO, *Hydrology and Water Resources in the Rufiji Basin*
- 1978 Commonwealth Fund for Technical Co-operation (CFTC), *The Development Potential of the Usangu Plains of Tanzania*
- 1983 FAO, *Usangu Village Irrigation Project*
- 1995 DANIDA / World Bank, *Joint study of integrated water and land management in the Great Ruaha Basin*
- 1996 World Bank, *River Basin Management and Smallholder Irrigation Project: Staff Appraisal Report*
- 2002 UK DFID, SMUWC (Sustainable Management of the Usangu Wetland and its Catchment)
- 2004 UK DFID, RIPARWIN (Raising Irrigation Productivity and Releasing Water for Intersectoral Needs)
- 2010 WWF, *Environmental Flow Assessment*

Water Policy Highlights

1971 Rural Water Supply Program (1971-1991)

Access to adequate, safe, dependable water supply within a walking distance of 400 metres from each household

1974 Water Utilization (Control and Regulation) Act, No. 42

1981, 1989, 1997, 1999 Amendments

2002 Tanzania National Water Policy (NAWAPO)

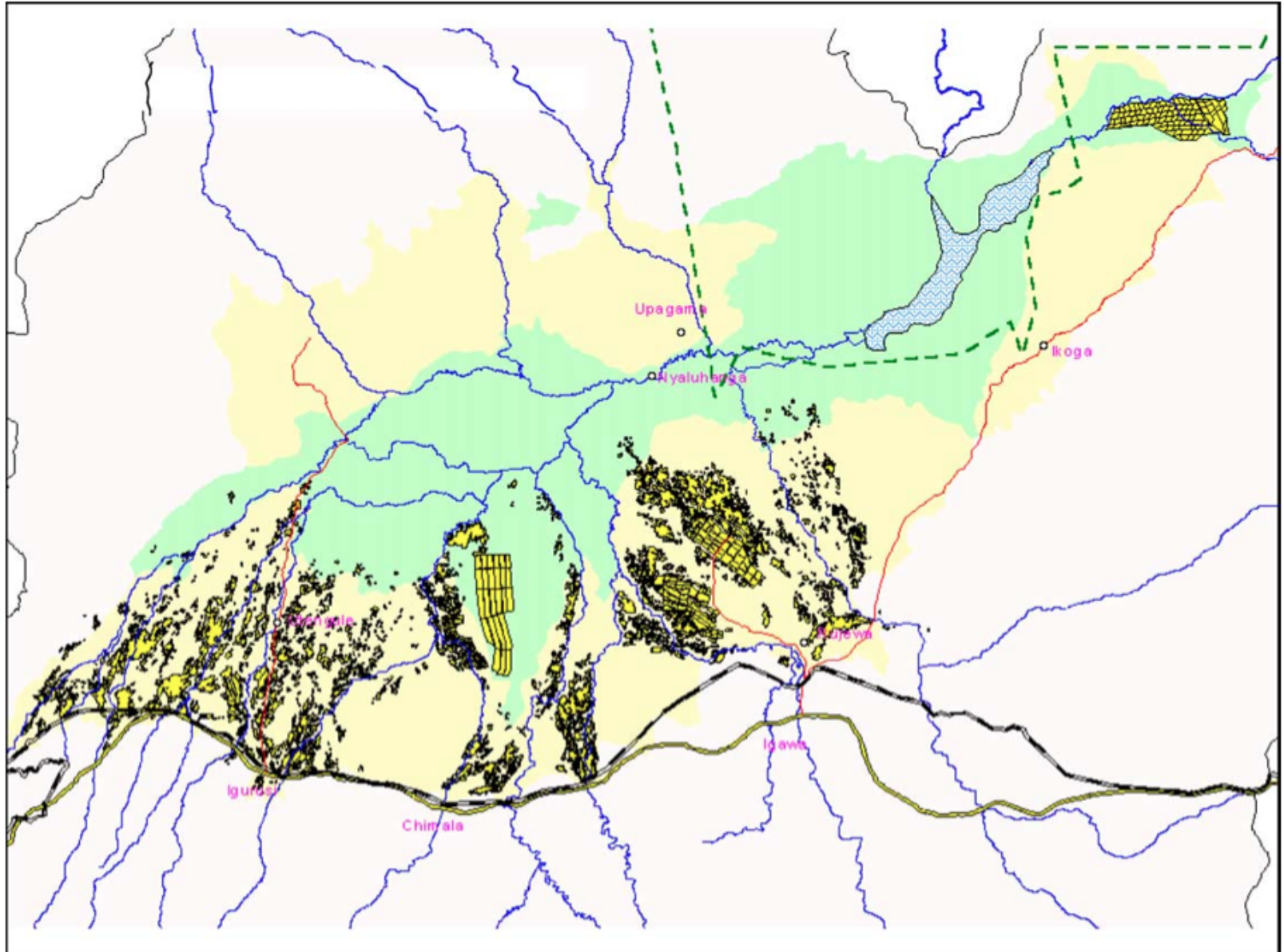
2005: National Water Sector Development Strategy (5-year plan)

2007: Water Sector Development Programme (WSDP)

2009 Water Resources Management Act, No. 11 (Replacing 1974 Water Utilization Act and all amendments)

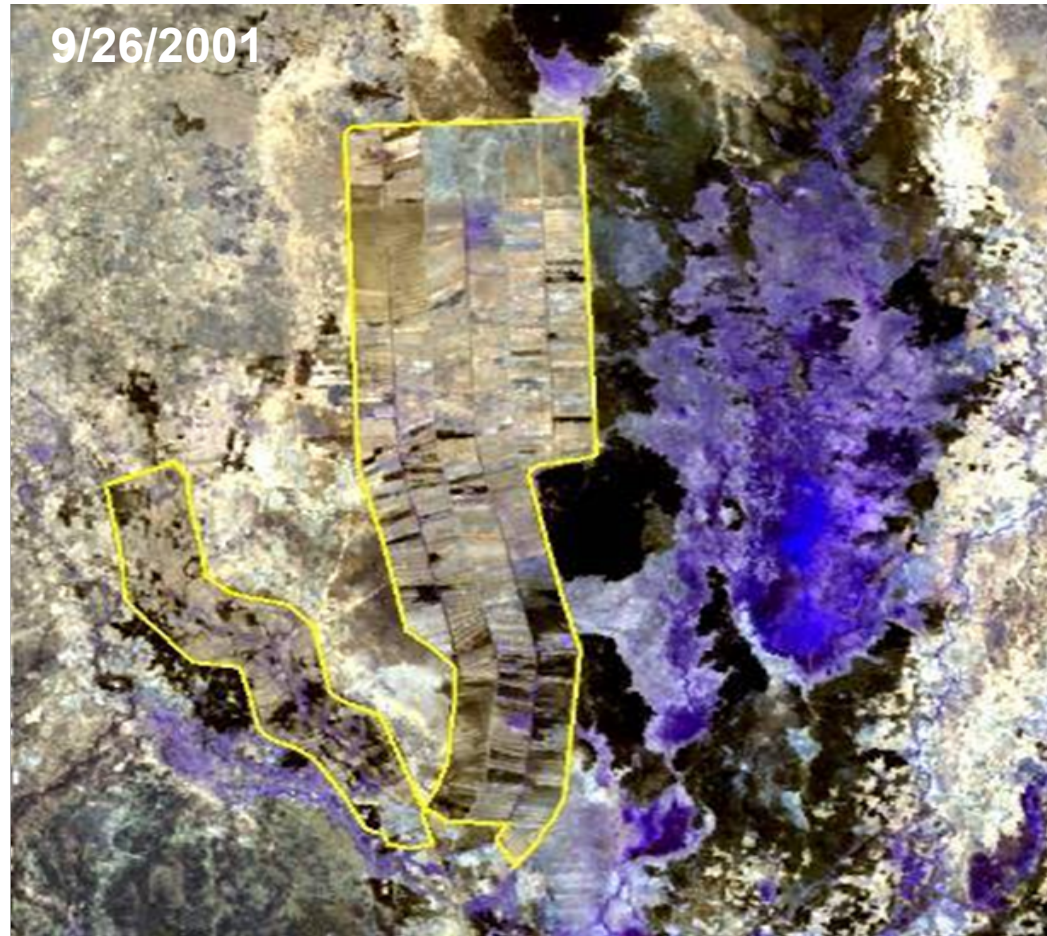
Establishes: National Water Board, Catchment (9) and Sub-catchment Water Committees, Integrated Water Resources Plans, Protection of Water Resources, Management of Groundwater, Dam Safety and Flood Management, Financial Provisions, and Transboundary Water Resources.

Irrigation Pressures



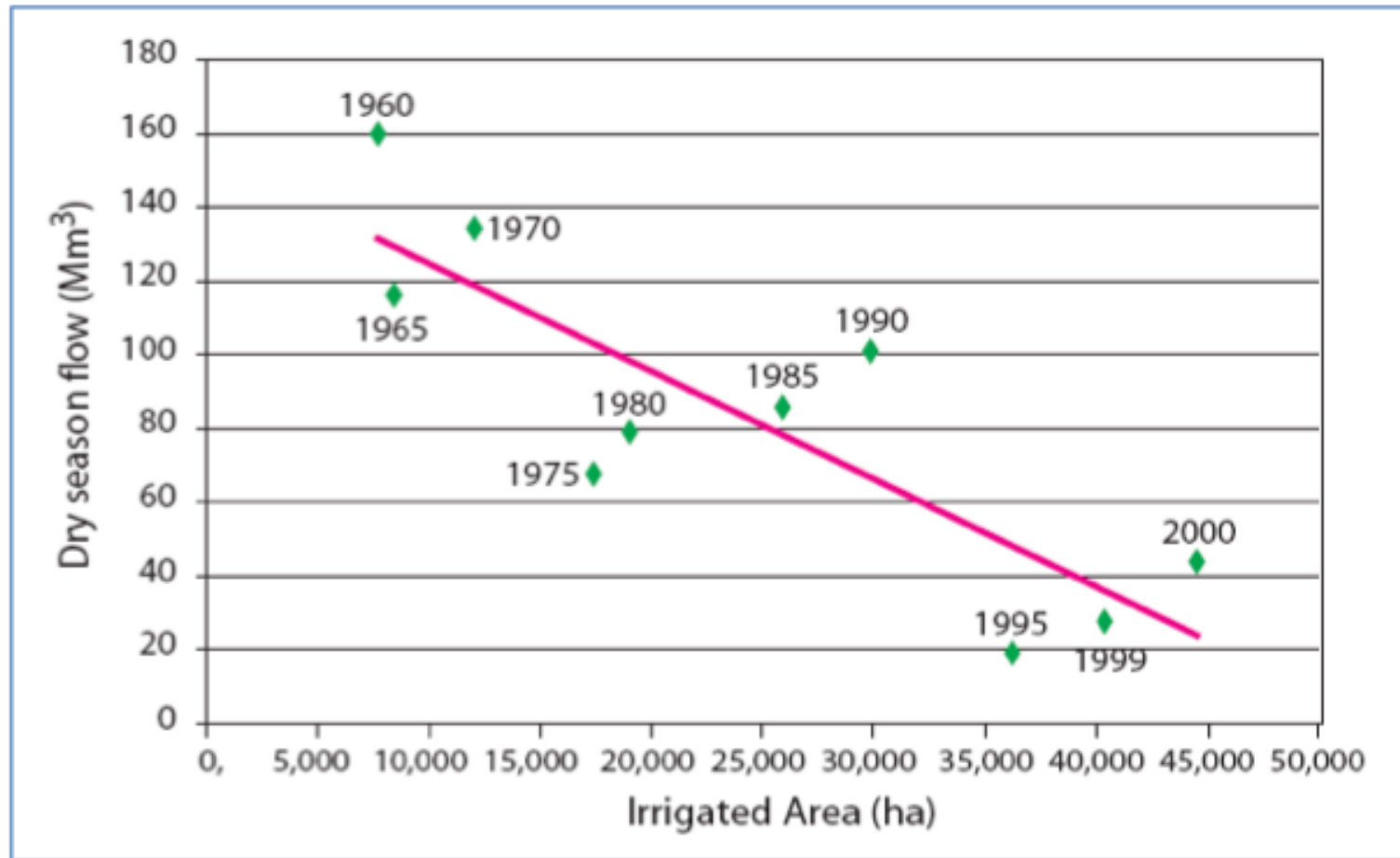
Source: SMUWC

Irrigation Pressures



Presumed extent of irrigation vs. observed flooded areas (WCS, 2006)

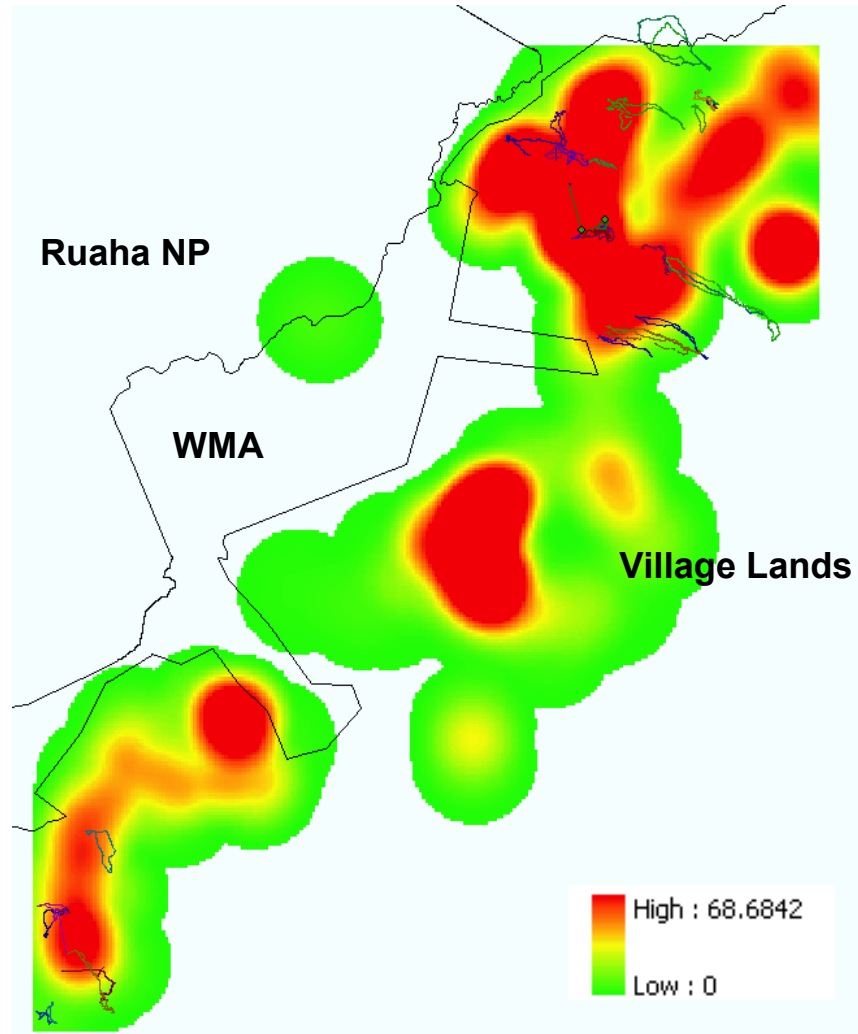
Irrigation Pressures



Dry Season Flow at Msembe plotted against Irrigated Area in the Usangu Plains

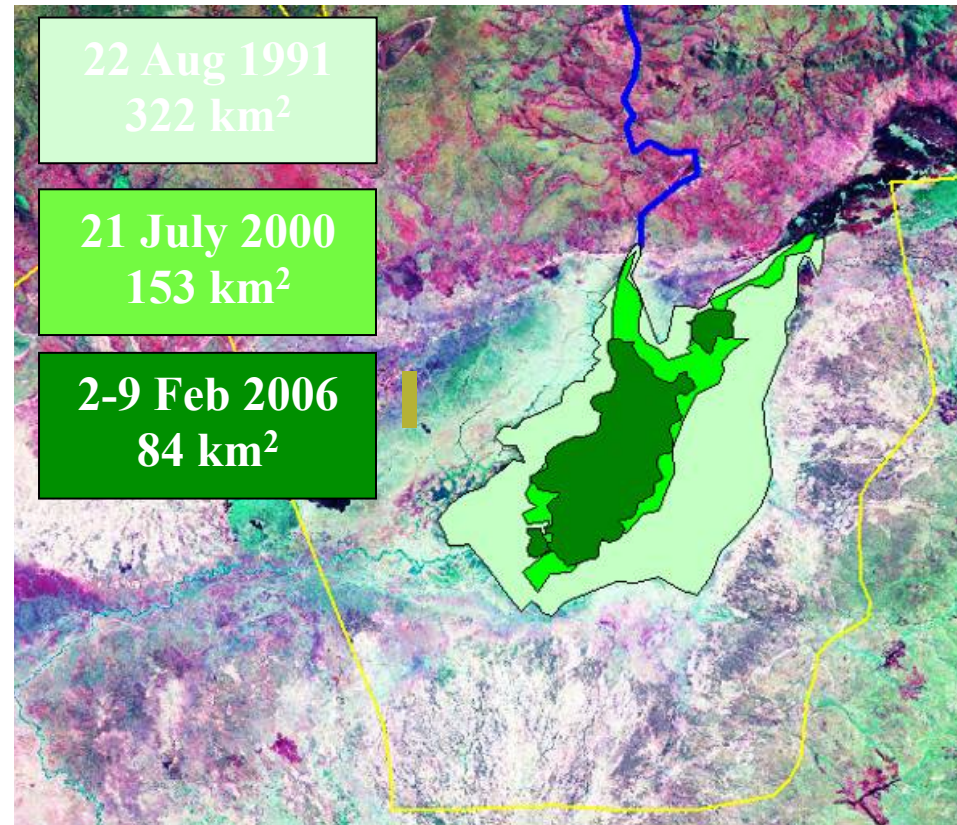
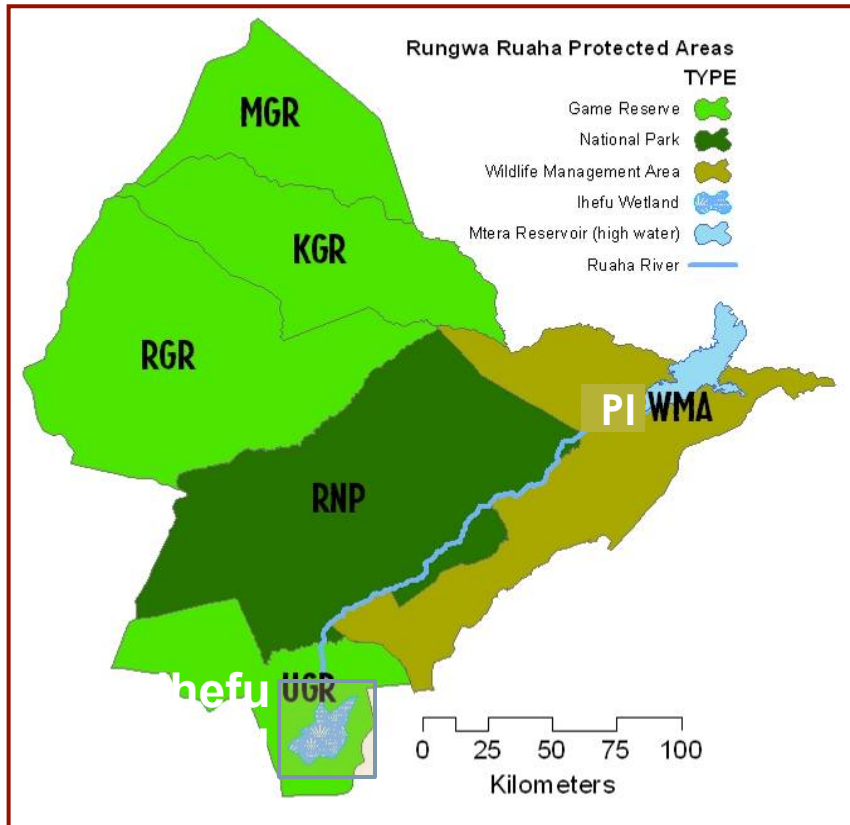
Source: WWF, 2010 [IWMI Research Report]

Grazing Pressures

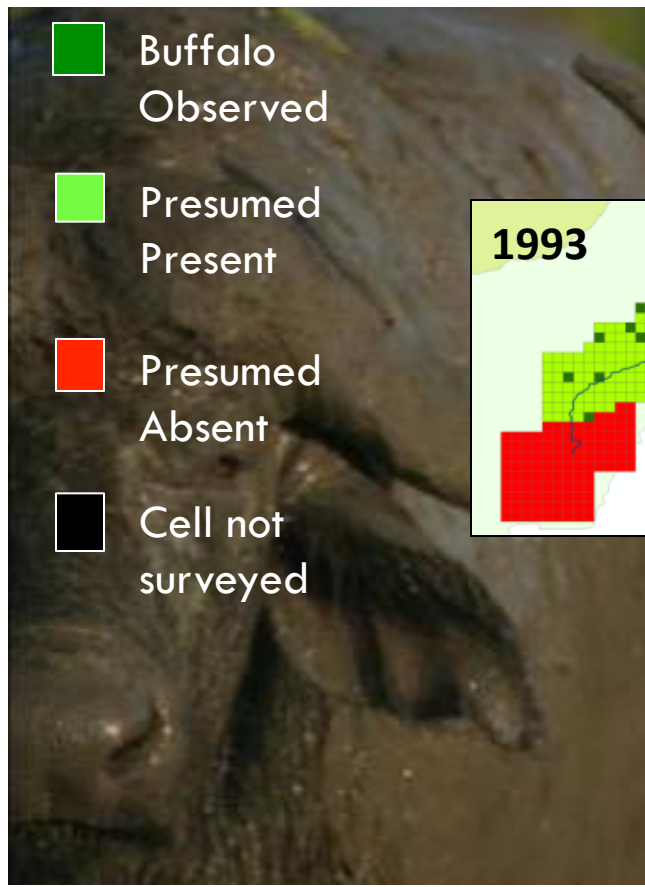






Cattle density (#/km²) at boundary of RNP, WMA, & village lands (WCS, 2008)

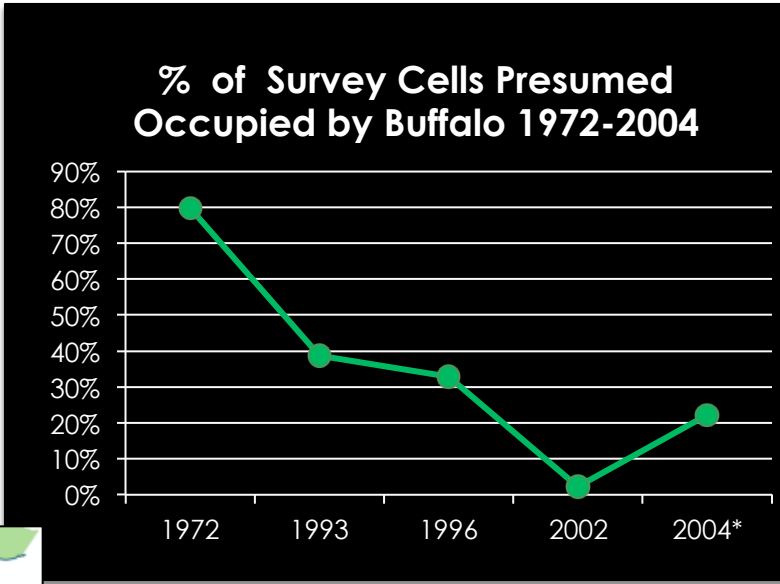
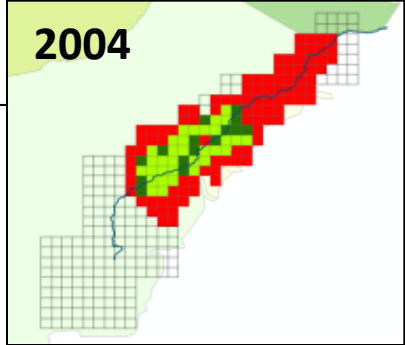
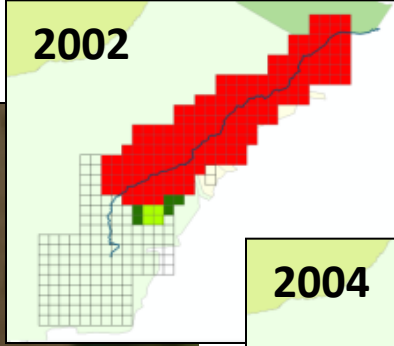
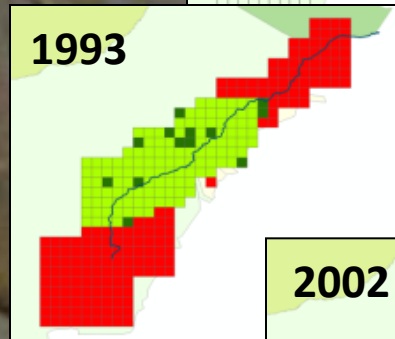
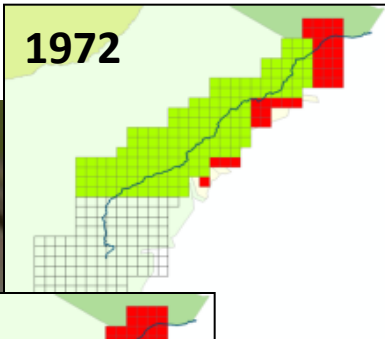
Decline of the Ihefu Wetland



Collapse of African Buffalo Range

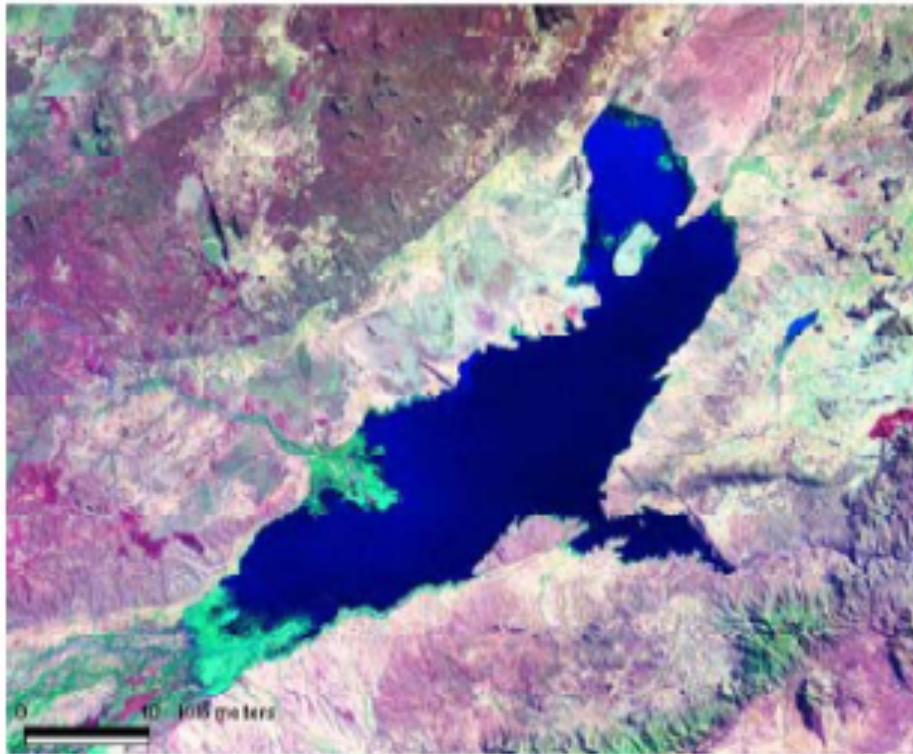


-  Buffalo Observed
-  Presumed Present
-  Presumed Absent
-  Cell not surveyed



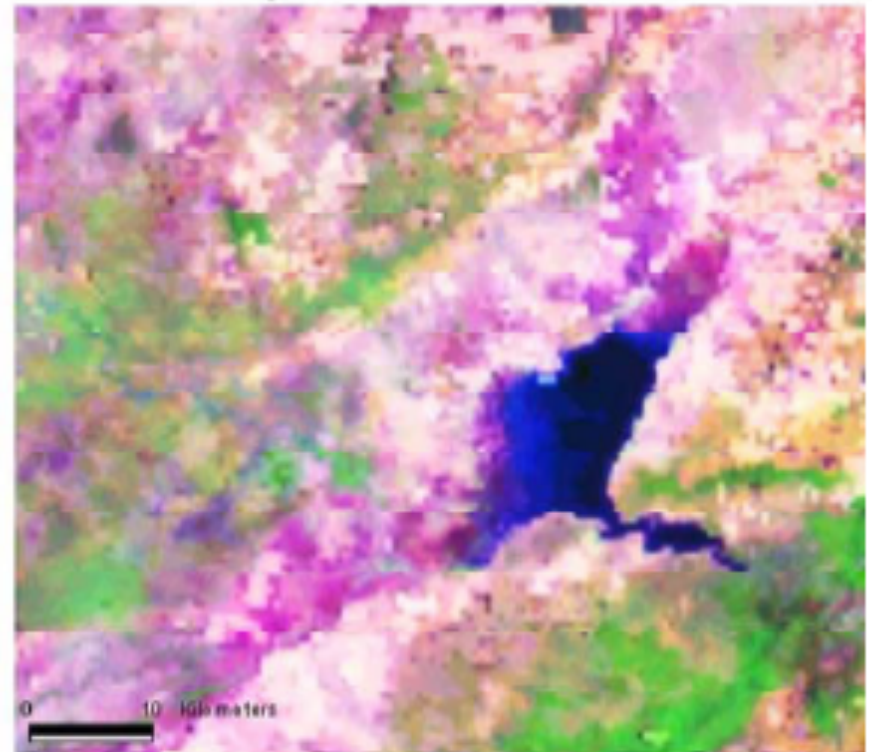
Drying of the Mtera Reservoir

1 December 1984: 605 km²



Landsat TM 28.5 m

2-9 February 2006: 170 km²



Modis Spectral Reflectance 500m

Consequences of Change

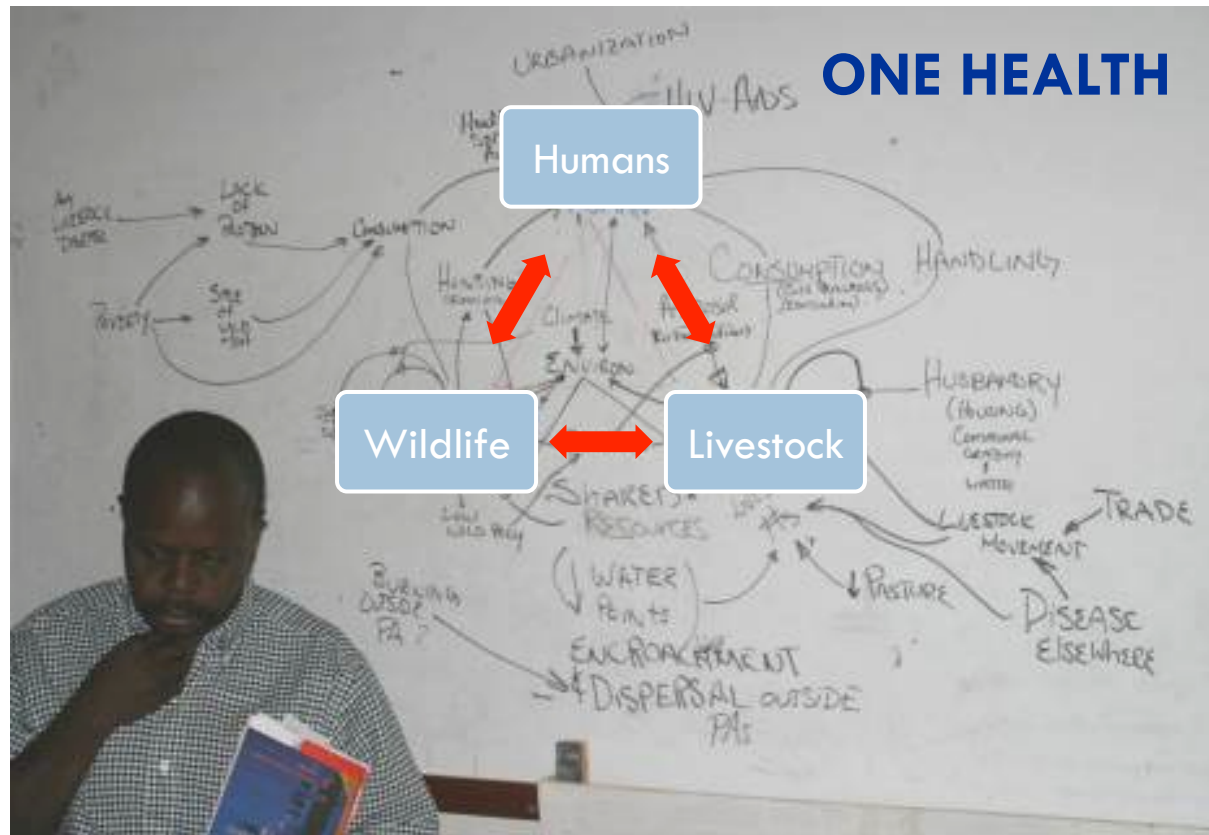
- ↑ Livestock-horticulture conflict
- ↑ Grazing pressure
- ↑ Wildlife conflicts & poaching
- ↓ Tourism revenues
- ↓ Wildlife
- ↓ Water & Water quality
- ↓ National economy
- ↑ Disease?



Stakeholder-Research Partnership

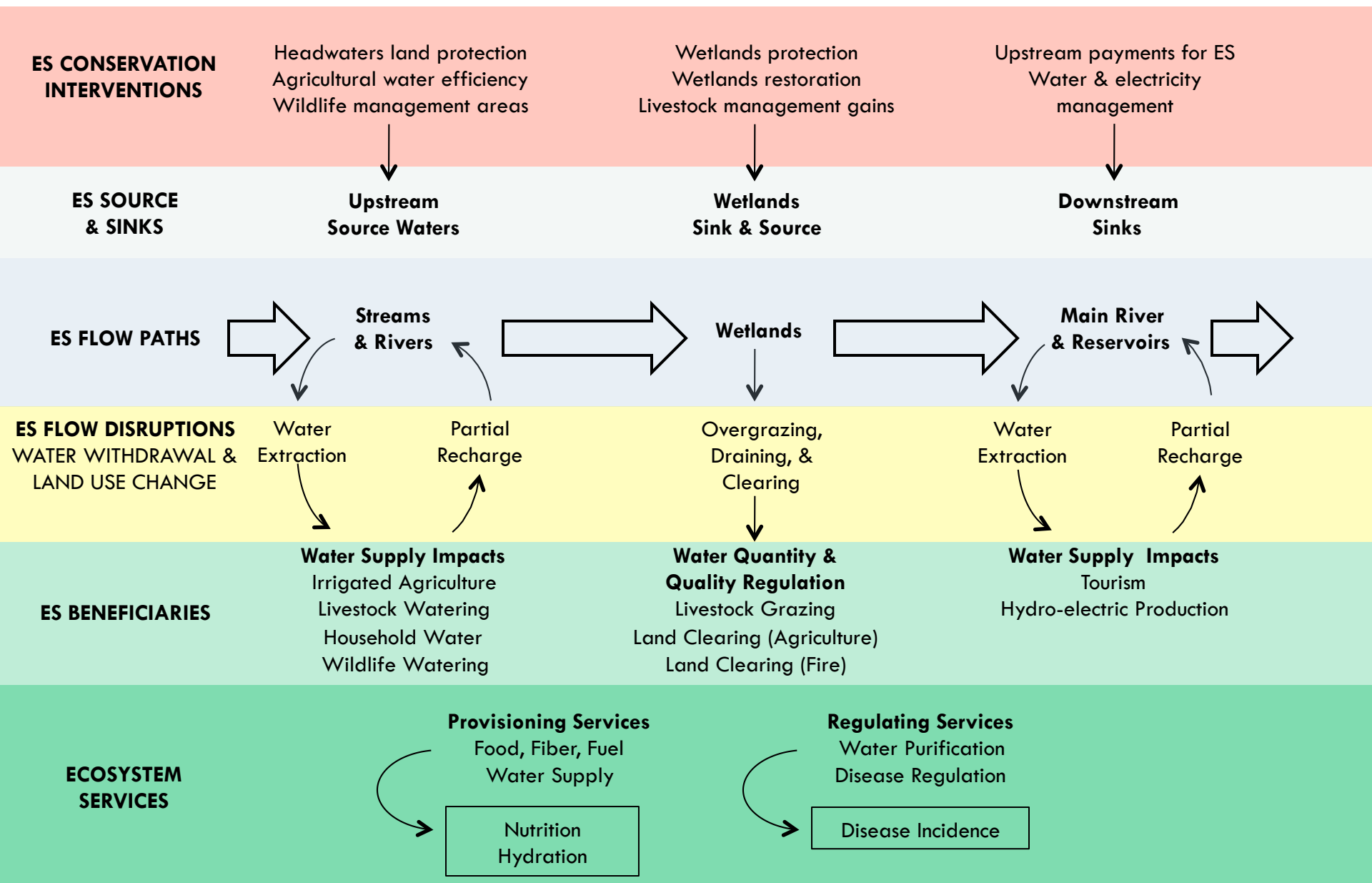
Identifying the Problem Model

- Pastoralist interviews
- Field visits
- Pre-project stakeholder workshop



Health for Animals and Livelihood Improvement (HALI) Project

Jon Erickson's Brain Dump



Ruaha Landscape Surface Water Source Data

- Annual Precipitation
 - ▣ Global: WorldClim
 - ▣ Local: Meteorological stations throughout Ruaha landscape
- Springs ?
- Inter-basin transfers ?

Ruaha Landscape Surface Water Sink Data

- Evapotranspiration & Soil Infiltration
- Weather: Annual maximum temperature
 - ▣ WorldClim
- Hydrologic Soils Group: Soil classification grouping soils that feature the same runoff potential under similar storm and cover conditions
 - ▣ Soils: ???
- Streams:
 - ▣ Digital Chart of the World
 - ▣ 1:100-m, 1:300-m, 1:500-m, 1:50,000-m

Ruaha Landscape Surface Water Sink Data

- Mountainfront Recharge Zones: surface water to groundwater
 - ▣ LULC + DEM + Soils
- % Impervious, % Canopy Cover, % Vegetation, Vegetation Type:
 - ▣ NOAA-NGDC: Global Land Cover
 - ▣ Food and Agriculture Organization Africover
 - ▣ European Space Agency GlobCover
- Runoff: Average annual runoff
 - ▣ SAGE: Global
 - ▣ Existing data models: SMUWC Study; RIPARWIN Study; WWF; WCS; Rufiji Water Basin Office

Ruaha Landscape Surface Water Sink Data

- Slope data
 - ▣ Derived from SRTM (90-m)
- Baseflow:
 - ▣ Stream gauge data from throughout Ruaha landscape

Ruaha Landscape Surface Water Beneficiary Groups

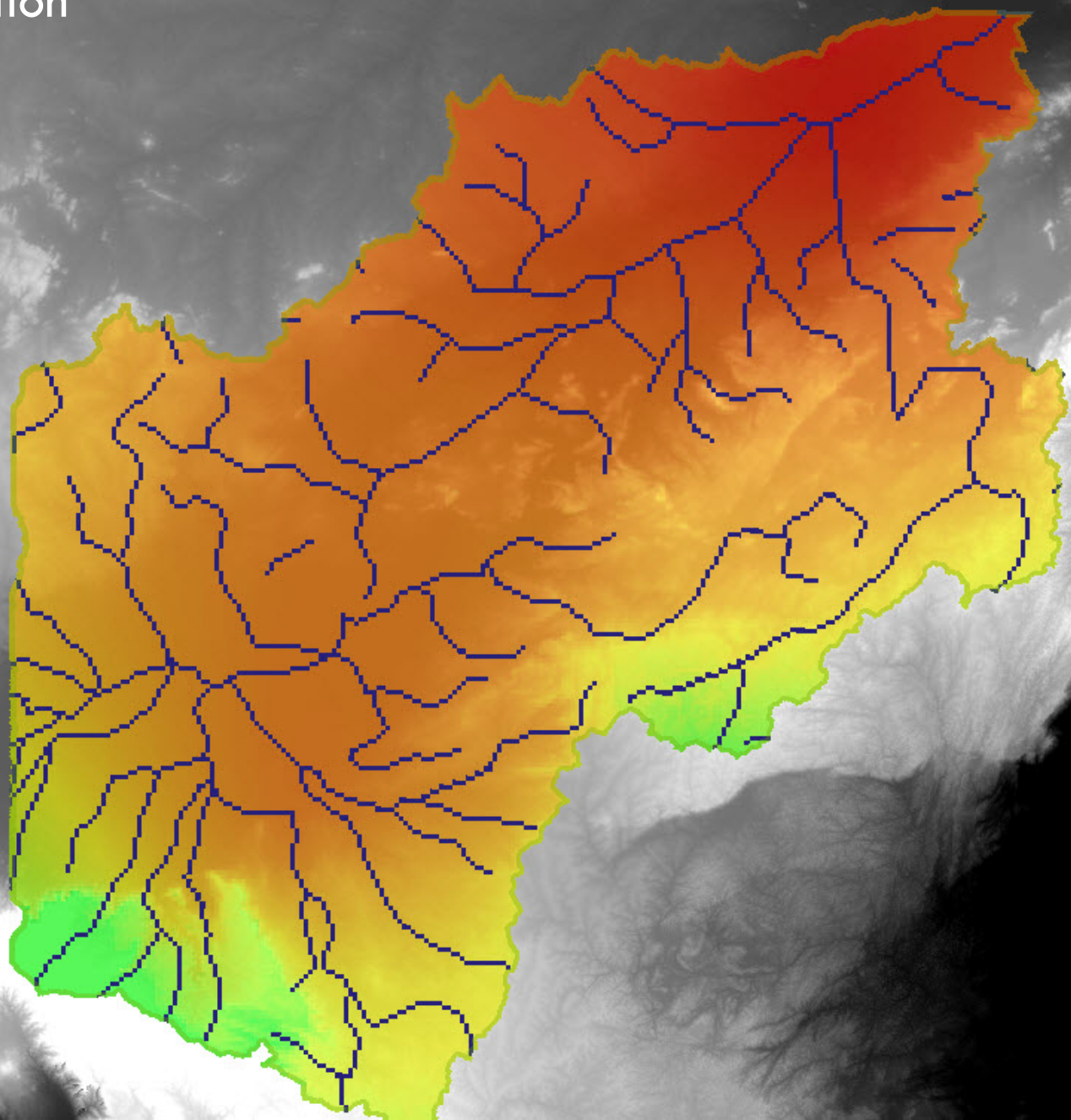
Beneficiary	Water Demand
Agricultural producers: Slopes, rangeland & rain-fed maize	Evapotranspiration for vegetative growth
Domestic users in villages	In-stream needs for cooking, drinking, bathing, etc.
Agricultural producers: Irrigated agriculture, rice	Evapotranspiration, seepage for vegetative growth and open water evaporation
Livestock producers: Permanent & seasonal wetland	Evapotranspiration/evaporation & in-stream consumption and water diversion (water holes)
Tourism: Ruaha National Park	In-stream needs for wildlife and drinking needs
Power producers: Mtera/Kidatu HEP Stations	Release for hydro-electricity power
Urban power users	Light, power, heating, cooling

Modified from Lankford et al 2004

Ruaha Landscape Surface Water Beneficiary Groups Data

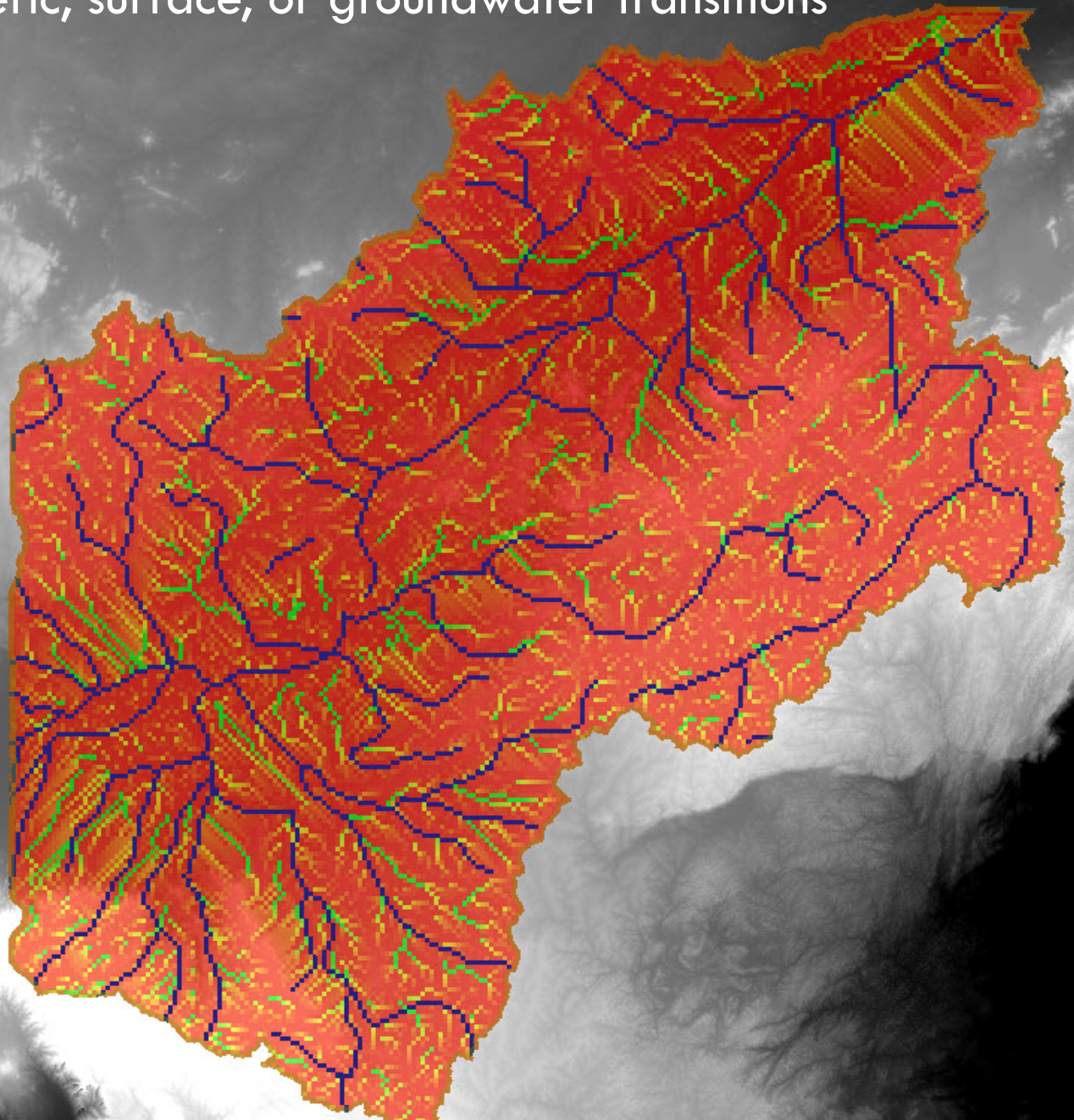
- Surface diversions: Stream diversions to supply irrigated agriculture, livestock watering holes, and municipal and private water supplies (piped water)
- Water supply wells: Location, capacity, depth, use type (residential, commercial, industrial)
- Water rights: Legally binding water allocations
- Land use / Land cover: urban areas, residential, commercial, industrial, impervious surface, forest canopy cover, wetlands, water, farmland, open space, barren land, mining
- Pastoralist households

Surface Water Supply: Precipitation

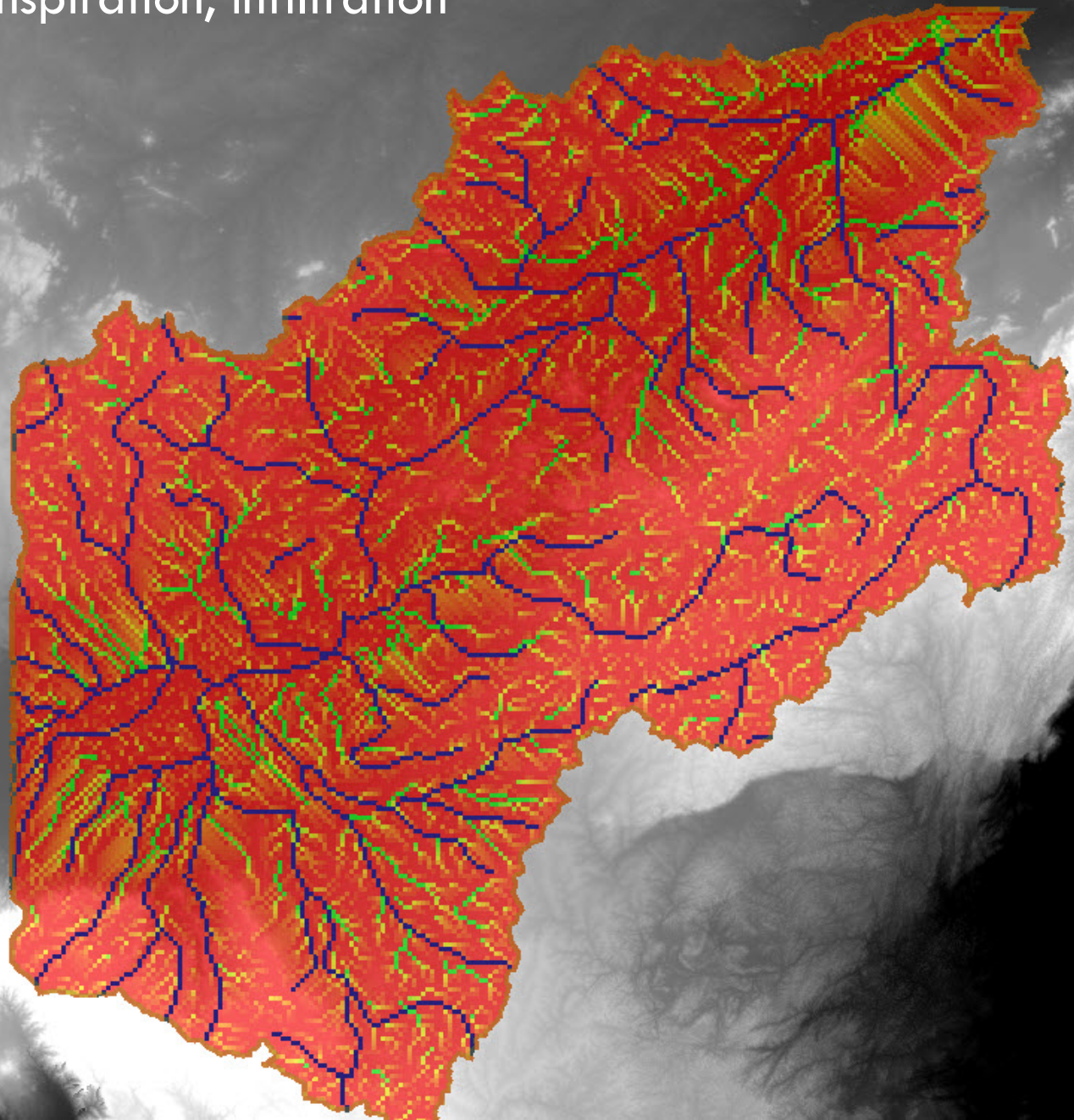


Possible surface water flow:

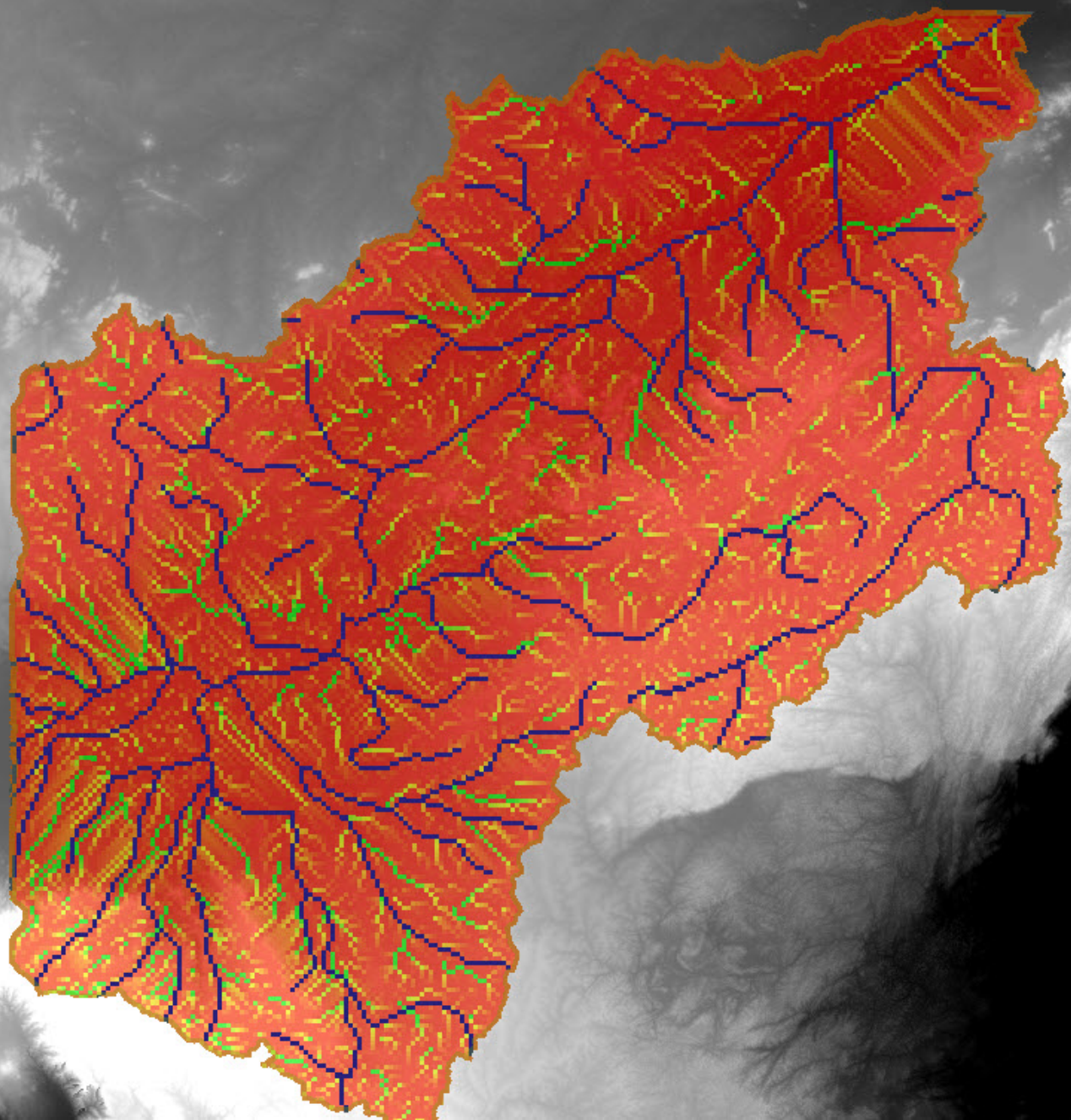
Atmospheric, surface, or groundwater transitions



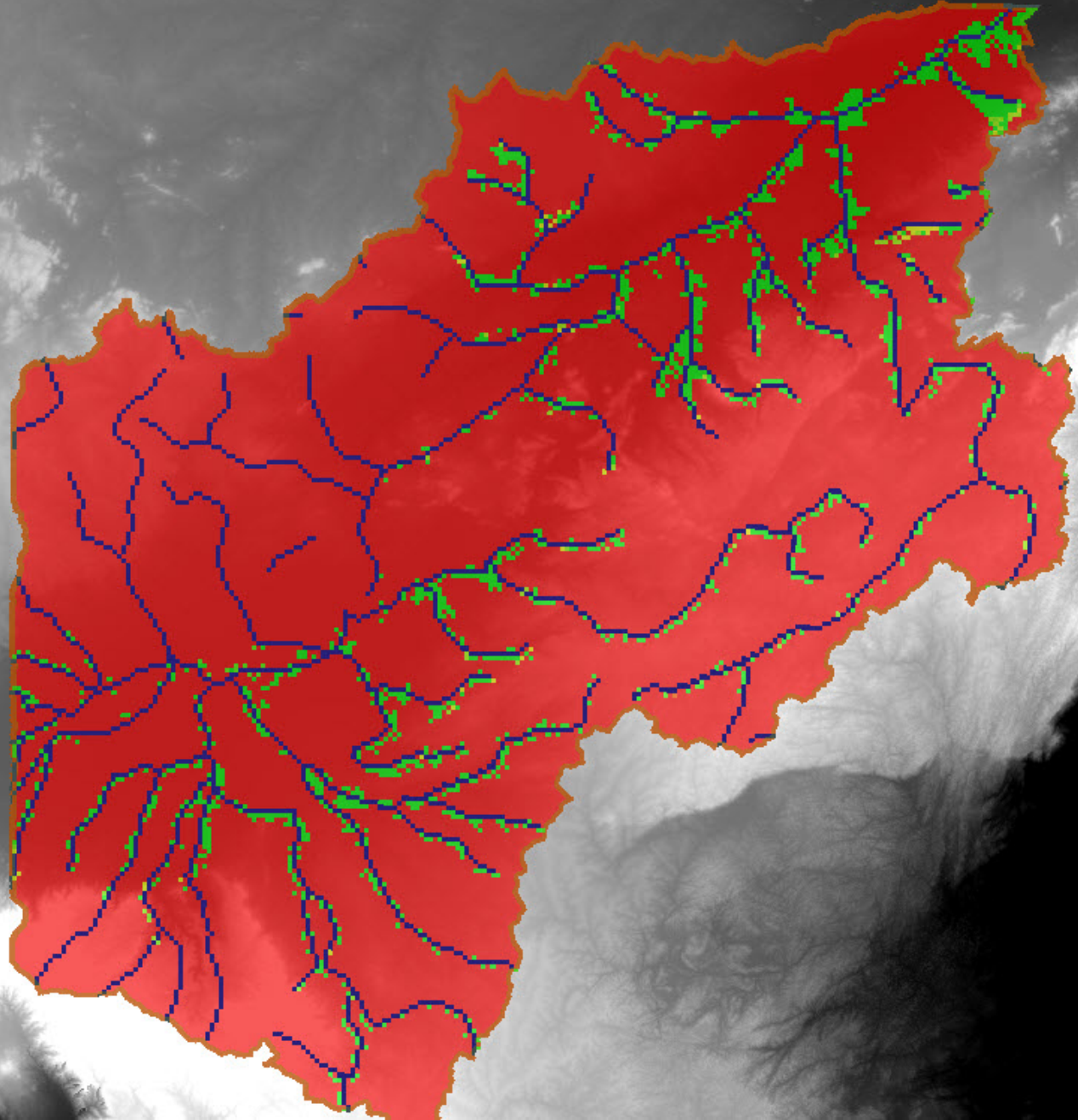
Sunk surface water flow:
Evapotranspiration, infiltration



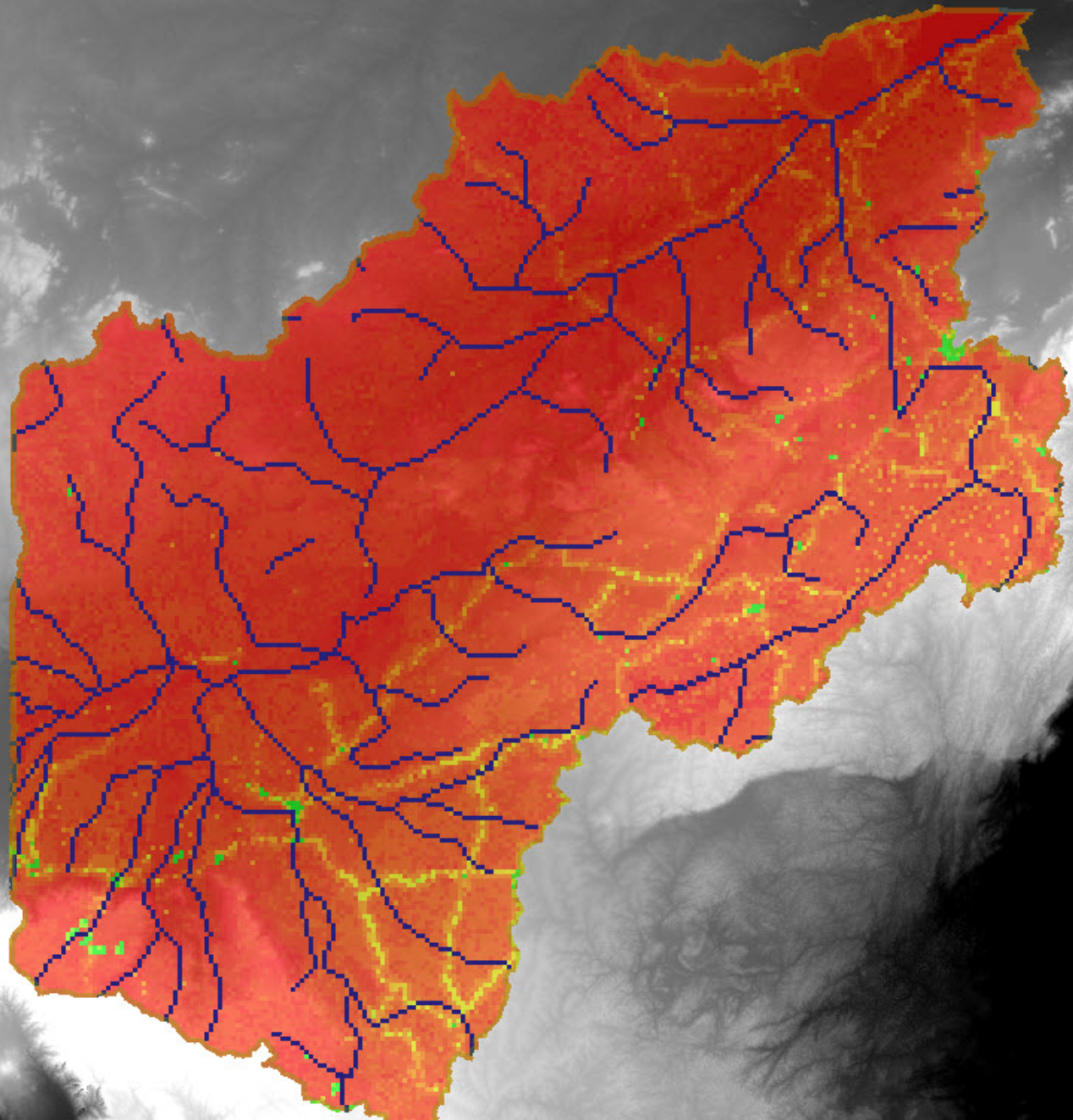
Actual Surface Water Flow



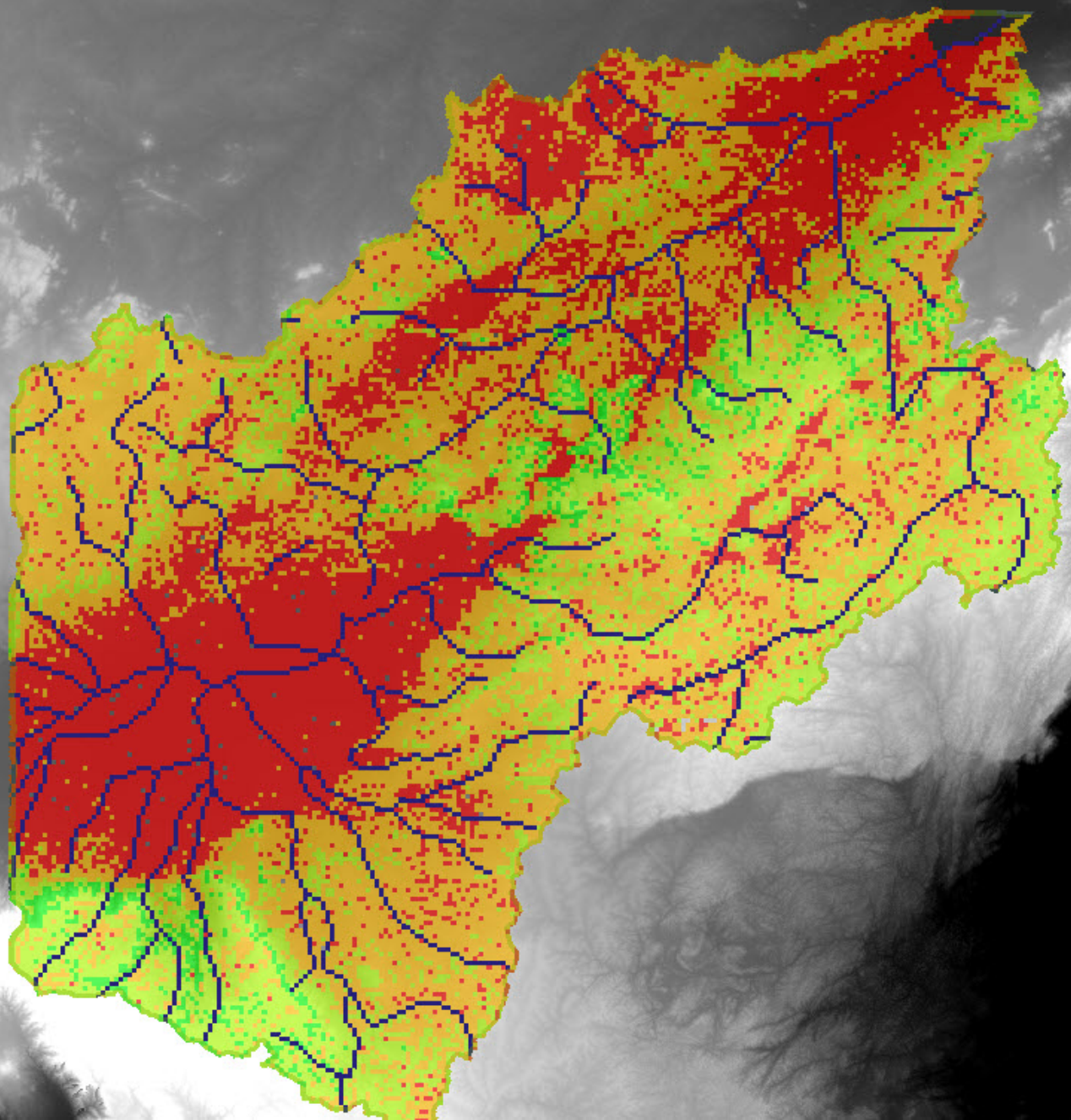
Actual Surface Water Sink



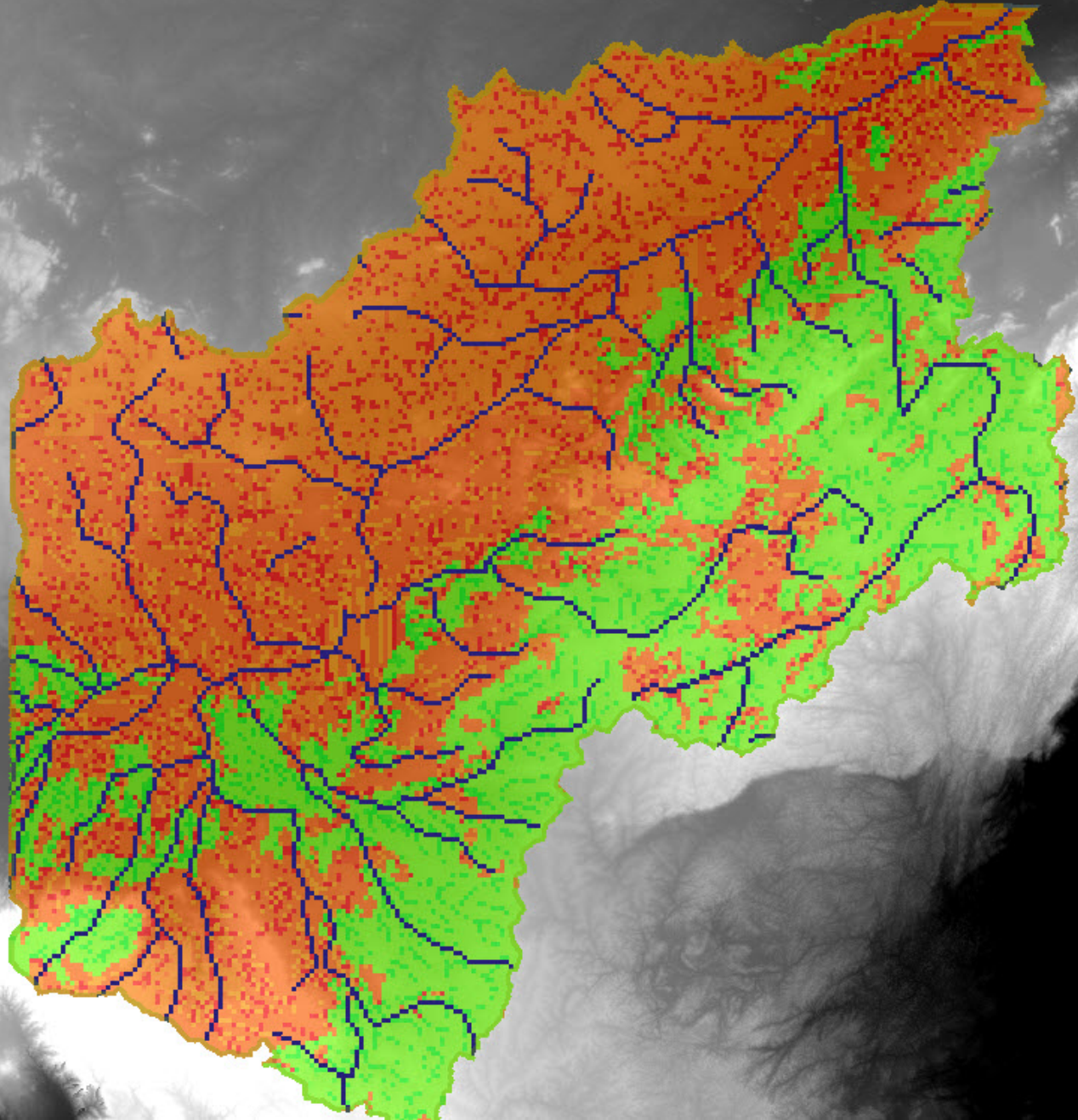
Population Density



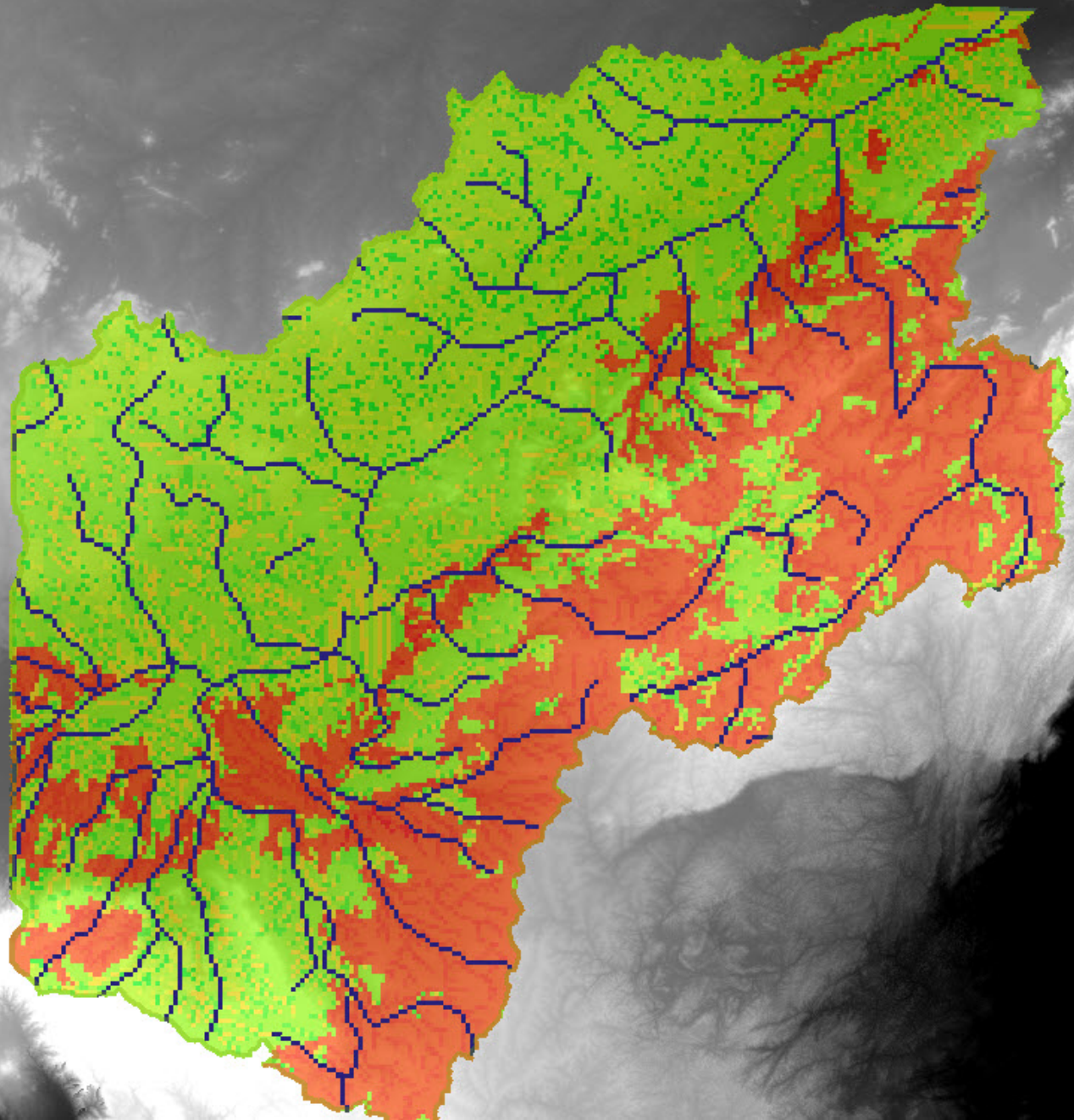
Lane Cover / Land Use



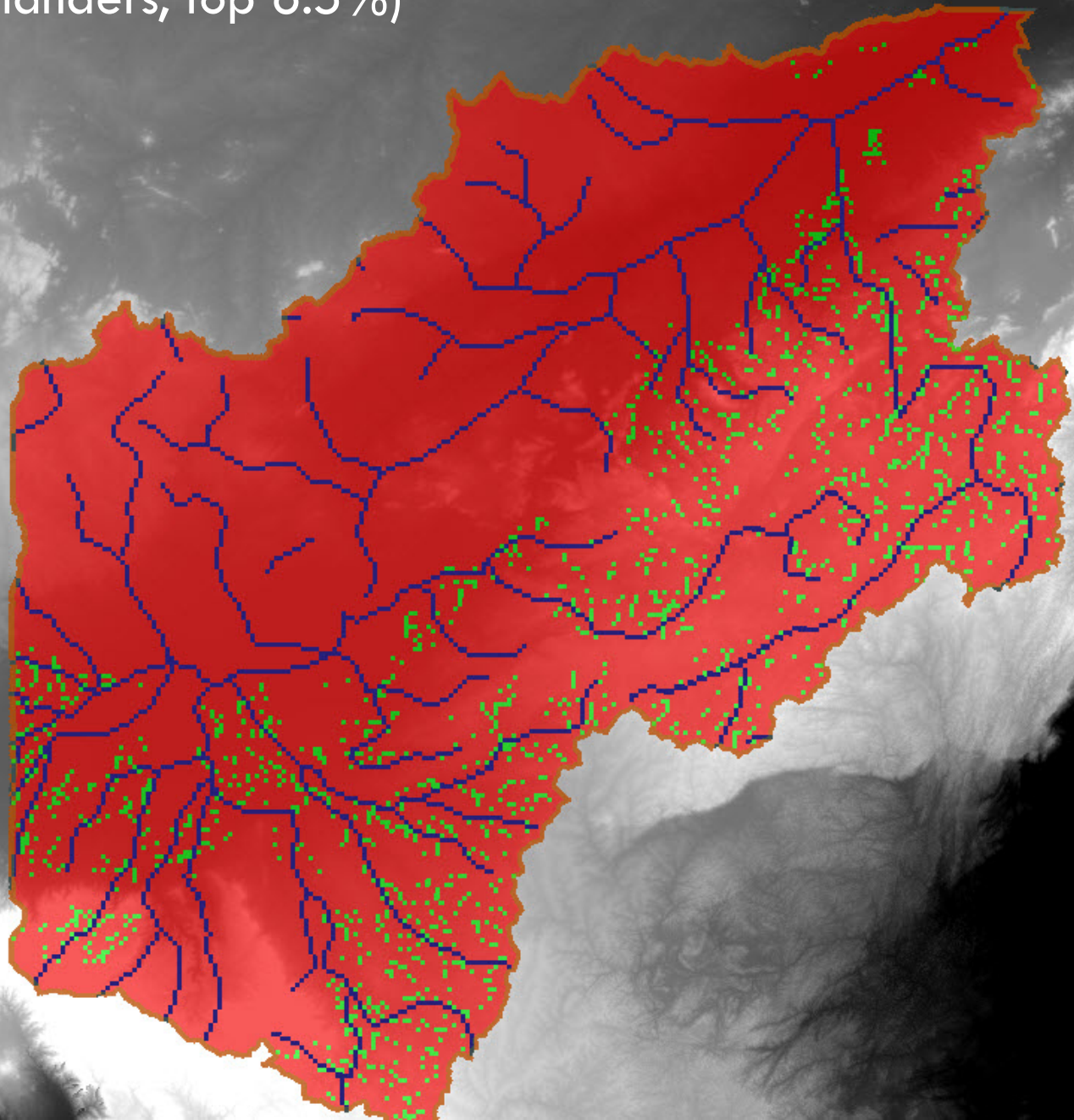
Agricultural Surface Water Use



Agricultural Surface Water Use *Uncertainty*



Surface Water Demand
(high demanders, top 6.5%)



Blocked Surface Water Flow
(high blockage, top 6.5%)

